

# Bounding $\chi$ by a Fraction of $\Delta$ for Graphs without Large Cliques

Tom Kelly<sup>1</sup>

Joint work with Marthe Bonamy<sup>2</sup>, Peter Nelson<sup>1</sup>, and Luke Postle<sup>1</sup>

<sup>1</sup>Department of Combinatorics & Optimization  
University of Waterloo

<sup>2</sup>CNRS, LaBRI, Université de Bordeaux

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# Introduction

If  $G$  is a graph, then

- $\chi(G)$  = chromatic number of  $G$
- $\Delta(G)$  = max degree of a vertex in  $G$ , and
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## Brooks' Theorem (1941)

If  $\Delta \geq 3$  and  $\omega \leq \Delta$ , then

$$\chi \leq \Delta.$$

# Reed's Conjecture

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Why  $2k$ ?

## Theorem (Spencer, 1977)

*The off-diagonal Ramsey number satisfies*

$$R(s, t) = \Omega\left(\left(t/\ln t\right)^{\frac{s+1}{2}}\right)$$

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Letting  $s = 3$  implies that there exists  $G$  on  $n$  vertices with  $\chi(G) \geq n/2$  and  $\omega(G) = O(n^{\frac{1}{2}+o(1)})$ .



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If  $\omega \leq \Delta + 1 - 2k$ , then

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Reed proved his conjecture for  $\Delta \geq 10^8 \cdot k$ .

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- In 2017, Delcourt and Postle improved this to  $\omega \leq \Delta + 1 - 13 \cdot k$ .

## Triangle-free Graphs ( $\omega \leq 2$ )

Theorem (Johansson, 1996)

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Theorem (Pettie and Su, 2014)

If  $\omega \leq 2$  (i.e. triangle-free), then

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### Theorem (Molloy, 2017)

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- Molloy's bound matches Kim's bound from '95 for girth 5 graphs and implies Shearer's bound on  $R(3, k)$ .
- Random  $\Delta$ -regular graphs can have  $\omega = 2$  and  $\chi \geq \frac{\Delta}{2 \ln \Delta}$ .

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- For this and triangle-free result, Molloy used entropy compression – Bernshteyn found shorter proofs by sampling a partial coloring uniformly at random and using the Lovász Local Lemma.

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For  $\Delta$  sufficiently large,

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Spencer's Ramsey-theory result implies there exists  $G$  on  $n$  vertices with  $\omega(G) = O\left(n^{\frac{2}{c+2} + o(1)}\right)$  and  $\chi(G) \geq n/c \geq \Delta/c$ .

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# List-Coloring

For a graph  $G$ ,

- $L = (L(v) : v \in V(G))$  is a **list-assignment** if each  $L(v) \subset \mathbb{N}$  is a “list of colors”,
- $G$  is  **$L$ -colorable** if there is a proper coloring in which each  $v \in V(G)$  receives a color from  $L(v)$ , and
- the **list-chromatic number** of  $G$ , denoted  $\chi_\ell(G)$ , is the smallest  $k$  such that  $G$  is  $L$ -colorable whenever  $|L(v)| \geq k$  for all  $v \in V(G)$ .

Clearly,

$$\chi(G) \leq \chi_\ell(G)$$

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Clearly,

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What if  $|L(v)|$  depends on **local parameters**, such as

- $d(v)$ , the degree of  $v$  and
- $\omega(v)$ , the size of a largest clique containing  $v$ ?

# The Local Paradigm

## Theorem (Erdős, Rubin, Taylor, 1979)

*Every connected graph  $G$  is  $L$ -colorable if  $|L(v)| \geq d(v)$  for all  $v \in V(G)$ , unless every block of  $G$  is a clique or odd cycle.*

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## Conjecture (Local Version of Reed's)

Every graph  $G$  is  $L$ -colorable if  $|L(v)| \geq \lceil \frac{1}{2}(d(v) + 1 + \omega(v)) \rceil$  for every  $v \in V(G)$ .

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What if

- $|L(v)| = \Omega\left(d(v) \sqrt{\frac{\ln \omega(v)}{\ln d(v)}}\right)$  or  $\Omega\left(d(v) \frac{\omega(v) \ln \ln d(v)}{\ln d(v)}\right)$ , or
- $|L(v)| = \Omega(d(v) / \ln d(v))$  and  $G$  is triangle-free?



## Our Local Versions

### Theorem (BKNP 2018+)

If  $\Delta$  is sufficiently large,  $\Delta(G) \leq \Delta$ , and for each  $v \in V(G)$ ,

$$|L(v)| \geq 72d(v) \min \left\{ \sqrt{\frac{\ln \omega(v)}{\ln d(v)}}, \frac{\omega(v) \ln \ln d(v)}{\ln d(v)}, \frac{\ln(\chi(G[N(v)]) + 1)}{\ln d(v)} \right\},$$

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- $\chi \leq 200\Delta \frac{\omega \ln \ln \Delta}{\ln \Delta}$  (Molloy), and
- $\chi \leq O(\Delta \ln r / \ln \Delta)$  if  $\chi(G[N(v)]) < r$  for all  $v \in V(G)$  (Johansson).

## Our Local Versions

### Theorem (BKNP 2018+)

If  $\Delta$  is sufficiently large,  $\Delta(G) \leq \Delta$ , and for each  $v \in V(G)$ ,

$$|L(v)| \geq 72d(v) \min \left\{ \sqrt{\frac{\ln \omega(v)}{\ln d(v)}}, \frac{\omega(v) \ln \ln d(v)}{\ln d(v)}, \frac{\ln(\chi(G[N(v)]) + 1)}{\ln d(v)} \right\},$$

and  $d(v) \geq \ln^2 \Delta$ , then  $G$  is  $L$ -colorable.

### Theorem (BKNP, 2018+)

If  $\Delta$  is sufficiently large,  $G$  has triangle-free and  $\Delta(G) \leq \Delta$ , and for each  $v \in V(G)$ ,

$$|L(v)| \geq (4 + o(1)) \frac{d(v)}{\log_2(d(v))}$$

and  $d(v) \geq \ln^2 \Delta$ , then  $G$  is  $L$ -colorable.

## A Metatheorem

For a graph  $H$ ,

- let  $\bar{\alpha}(H)$  be the average size of an independent set in  $H$ , and
- let  $i(H)$  be the number of independent sets in  $H$ .

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### Theorem (BKNP 2018+)

Let  $\varepsilon > 0$ . Let  $\alpha_{\min}(v)$  be the minimum of  $\bar{\alpha}(H)$  where  $H \subseteq G[N(v)]$  and  $i(H) \geq d(v)^{(1-\varepsilon)/2}$ . If  $\Delta$  sufficiently large,  $\Delta(G) \leq \Delta$ , and for each  $v \in V(G)$ ,

$$|L(v)| \geq (1 + \varepsilon) \frac{d(v)}{\alpha_{\min}(v)}$$

and  $d(v) \geq \ln^2 \Delta$ , then  $G$  has an  $L$ -coloring.



# A Metatheorem

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## Lemma

$$\begin{aligned} \bar{\alpha}(H) &\geq \log(i(H))/(10 \log(\chi(H) + 1)), && \text{(Alon)} \\ &\geq \log(i(H))/(2\omega \log(\log(i(H))))), && \text{(Shearer)} \\ &\geq 24^{-1} \sqrt{\log(i(H))/\log(\omega)}. && \text{(BKNP)} \end{aligned}$$

Thanks!