Dynamical properties of the doubling map with holes

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Overview

Open dynamical systems

- The doubling map with holes
- Symbolic dynamics

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Open dynamical systems

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2 Symmetrical Holes

- Introduction
- Transitivity and Non Transitive cases
- Specification and the exceptional set

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3 Asymmetrical Holes

Work in progress

The doubling map with holes Symbolic dynamics

Open dynamical systems

• Let (X, f) be a discrete dynamical system

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Open dynamical systems

• Let (X, f) be a discrete dynamical system X compact metric space $f : X \to X$ continuous map with $h_{top}(f) > 0$.

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Open dynamical systems

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- Let U ⊂ X be an open set. Consider

$$X_U = \{x \in X \mid f^n(x) \notin U \text{ for every } n \ge 0 \text{ or } n \in \mathbb{Z}\}$$

and $f_U = f \mid_{X_U}$.

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• We call (X_U, f_U) an open dynamical system or a map with a hole. (Pianigiani, Yorke 1979)

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The doubling map with holes Symbolic dynamics

The doubling map

Let $f:S^1 \to S^1$ be the doubling map

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The doubling map with holes Symbolic dynamics

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Let $(a, b) \subset S^1$. Consider

$$X_{(a,b)} = \{x \in S^1 \mid f^n(x) \notin (a,b) \text{ for every } n \ge 0\}.$$

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The doubling map with holes Symbolic dynamics

Introducing holes and restricting the problem

Lemma (Glendinning - Sidorov 2013)

Let $a, b \in S^1$ with a < b.

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The doubling map with holes Symbolic dynamics

Introducing holes and restricting the problem

Lemma (Glendinning - Sidorov 2013)

Let
$$a, b \in S^1$$
 with $a < b$. Then:
i) If $0 < a < \frac{1}{4}$ and $\frac{1}{2} < b < 1$, or $0 < a < \frac{1}{2}$ and $\frac{3}{4} < b < 1$ then
 $X_{(a,b)} = \{0\}.$

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- ii) If $\frac{1}{2} < a < 1$ or $0 < b < \frac{1}{2}$, then $\dim_H X_{(a,b)} > 0$.

Let $a \in (\frac{1}{4}, \frac{1}{2})$. We define:

$$\phi(a) = \sup\{b \in S^1 \mid X_{(a,b)} \neq \{0\}\}$$

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Let $a \in (\frac{1}{4}, \frac{1}{2})$. We define:

$$\phi(a) = \sup\{b \in S^1 \mid X_{(a,b)} \neq \{0\}\}$$

and

$$\chi(a) = \sup\{b \in S^1 \mid X_{(a,b)} \text{ is uncountable}\}.$$

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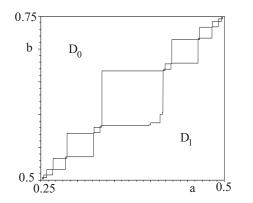
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We will just consider $a \in (\frac{1}{4}, \frac{1}{2})$ and $b \in (\frac{1}{2}, \frac{3}{4})$ with $b \leq \chi(a)$.



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Open dynamical systems

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The problem

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The problem

Let $\Lambda_{(a,b)} = X_{(a,b)} \cap [2b-1,2a]$ the attractor of $(X_{(a,b)}, f_b^a)$.

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 - What is the structure of their cycles? (Allouche-Clarke-Sidorov 2009, Hare-Sidorov 2013)

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Our interest is to answer Question 2.

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- What is the structure of their cycles? (Allouche-Clarke-Sidorov 2009, Hare-Sidorov 2013)
- **2** Is f_b^a transitive? Does f_b^a has the specification property?

Our interest is to answer Question 2. Motivation: Is $(\Lambda_{(a,b)}, f_b^a)$ intrinsically ergodic?

The doubling map with holes Symbolic dynamics

Symbolic Representation

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Let any $x \in [0, 1)$.

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Symbolic Representation

Let any $x \in [0, 1)$. A binary expansion of x is

$$x = \sum_{i=1}^{\infty} \frac{x_i}{2^i}$$

where x_i is equal to 0 or 1.

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Symbolic Representation

Let any $x \in [0, 1)$. A binary expansion of x is

$$x = \sum_{i=1}^{\infty} \frac{x_i}{2^i}$$

where x_i is equal to 0 or 1. Let $\Sigma_2 = \prod_{n=1}^{\infty} \{0, 1\}$. The projection map $\pi : \Sigma_2 \to [0, 1)$ defined by

$$\pi(x)=\sum_{n=1}^{\infty}\frac{x_n}{2^n}.$$

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$$\pi(x)=\sum_{n=1}^{\infty}\frac{x_n}{2^n}.$$

Observe that π is a semi-conjugacy between $2x \mod 1$ and the one sided shift σ , where $\sigma : \Sigma_2 \to \Sigma_2 \ \sigma((x_i)_{i=1}^{\infty}) = (x_{i+1})_{i=1}^{\infty}$.

The doubling map with holes Symbolic dynamics

Lexicographic subshifts

Given $x, y \in \Sigma_2$ we say that x is *lexicographically less that* y, $x \prec y$ if there exists $k \in \mathbb{N}$ such that $x_j = y_j$ for i < k and $x_k < y_k$.

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 $\Sigma_{\mathcal{F}} = \{ x \in \Sigma_2 \mid u \text{ is not contained in } x \text{ for any word } u \in \mathcal{F} \}.$

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 $(\Sigma_{\mathcal{F}}, \sigma \mid_{\Sigma_{\mathcal{F}}})$ is called a *subshift*.

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Lemma

$$\Sigma_{(a,b)} = \{ x \in \Sigma_2 \mid \pi^{-1}(2b-1) \prec \sigma^n(x) \prec \pi^{-1}(2a)$$
for every $n \ge 0 \}.$

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Lemma

$$\begin{split} \Sigma_{(a,b)} &= \{ x \in \Sigma_2 \mid \pi^{-1}(2b-1) \prec \sigma^n(x) \prec \pi^{-1}(2a) \\ & \text{for every } n \geq 0 \}. \\ \text{Moreover, } (\Sigma_{(a,b)}, \sigma_{(a,b)}) \text{ is a subshift and } (\Sigma_{(a,b)}, \sigma_{(a,b)}) \text{ is } \\ \text{conjugated to } (\Lambda_{(a,b)}, f_b^a). \end{split}$$

For every $n \in \mathbb{N}$, the set of admissible words of length n of $\Sigma_{\mathcal{F}}$ is given by:

 $B_n(\Sigma_{\mathcal{F}}) = \{ u \in \{0,1\}^n \mid u \text{ is a factor of } x, \text{ for } x \in \Sigma_{\mathcal{F}} \}.$

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The set of admissible words or the language of $\Sigma_{\mathcal{F}}$, denoted by $\mathcal{L}(\Sigma_{\mathcal{F}})$, is defined to be

$$\mathcal{L}(\Sigma_{\mathcal{F}}) = \bigcup_{m=1}^{\infty} B_m(\Sigma_{\mathcal{F}}).$$

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The doubling map with holes Symbolic dynamics

A subshift $(\Sigma_{\mathcal{F}}, \sigma \mid_{\Sigma_{\mathcal{F}}})$

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The doubling map with holes Symbolic dynamics

A subshift $(\Sigma_{\mathcal{F}}, \sigma \mid_{\Sigma_{\mathcal{F}}})$

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- has the specification property if there exist $m \in \mathbb{N}$ such that for every $u = u_1 \dots u_{\ell(u)}$ and $v = v_1 \dots v_{\ell(v)}$ there exist $w = w_1 \dots w_n$ such that *uwv* is admissible and $n \leq m$.

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- is coded if $A = \bigcup_{n=1}^{\infty} A_n$ where (A_n, σ_{A_n}) is a transitive subshift of finite type.

Introduction

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Symmetrical Holes

Let $a \in \left(\frac{1}{4}, \frac{1}{2}\right)$.

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Symmetrical Holes

Let $a \in (\frac{1}{4}, \frac{1}{2})$.

• We say that a hole (a, b) is symmetrical if b = 1 - a.

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- We call \bar{a} the mirror image of a.

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- We call \bar{a} the mirror image of a.

We say that $(\Sigma_{(a,b)}, \sigma_{(a,b)})$ is symmetric if for every $x \in \Sigma_{(a,b)}$, $\bar{x} \in \Sigma_{(a,b)}$.

Lemma

Let $a \in (\frac{1}{4}, \frac{1}{2})$. Then $(\Sigma_{(a,1-a)}, \sigma_{(a,1-a)})$ is a symmetric subshift.

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Theorem (Glendinning-Sidorov 2001)

For $a \in [0, \frac{1}{2}]$, then:

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 is empty if $a \in [\frac{1}{4}, \frac{1}{3})$;

2)
$$\Sigma_{(a,1-a)} = \{(01)^{\infty}, (10)^{\infty}\}$$
 if $a \in [\frac{1}{3}, \frac{13}{32});$

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$$\Sigma_{(a,1-a)}$$
 is countable if $a\in [rac{13}{32},a^*);$

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 $\Sigma_{(a,1-a)}$ is uncountable and $\dim_H(\Sigma_a)>0$ if $a\in(a^*,rac{1}{2}]$

where $a^* = \pi(t)$ and t is the Thue-Morse sequence.

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where $a^* = \pi(t)$ and t is the Thue-Morse sequence.

Then, we considered $a \in (a^*, \frac{1}{2})$

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We say that a sequence ω is a *Parry sequence* if $\omega_1 = 1$ and $\sigma^n(\omega) \preccurlyeq \omega$ for every $n \ge 0$ we denote the set of Parry sequences by *P*. $\pi(P)$ is a set of Lebesgue measure zero with dim_H($\pi(P)$) = 1.

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Transitivity

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Transitivity

Theorem (A.B. 2014)

For any $a \in (a^*, \frac{5}{12})$, $(\Sigma_{(a,1-a)}, \sigma_{(a,1-a)})$ is not transitive.

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If $\pi^{-1}(2a)$ is a finite (periodic) sequence then $\Sigma_{(a,1-a)}$ is a subshift of finite type. Moreover, if $\pi^{-1}(2a)$ is irreducible then $\Sigma_{(a,1-a)}$ is a transitive subshift of finite type.

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In general $\Sigma_{(a,1-a)}$ is not transitive.

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The exceptional set and approximation properties

 $\mathcal{E} = \{ x \in P \mid x \text{ is aperiodic } \}.$

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The exceptional set and approximation properties

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Lemma

If $a > \frac{5}{12}$ satisfies that $\pi^{-1}(2a) \in \mathcal{E}$ there exist a sequence ω_n^- of irreducible sequences such that;

• $\omega_n^- \prec \omega_{n+1}^-$ and $\omega_n^- \longrightarrow \pi^{-1}(2a)$. Then $\Sigma_{(a,1-a)}$ is coded, i.e

$$\Sigma_{(a,1-a)} = igcup_{n=1}^{\infty} \Sigma_{\omega_n^-}$$

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Specification

Consider a such that $\Sigma_{(a,1-a)}$ is coded.

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Specification

Consider a such that $\Sigma_{(a,1-a)}$ is coded. Does $\Sigma_{(a,1-a)}$ has specification? (Transitive + bounded "bridges").

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Specification

Consider a such that $\Sigma_{(a,1-a)}$ is coded. $Does \Sigma_{(a,1-a)}$ has specification? (Transitive + bounded "bridges"). If $(\Sigma_{\mathcal{F}}, \sigma_{\Sigma_{\mathcal{F}}})$ is a transitive SFT then $(\Sigma_{\mathcal{F}}, \sigma_{\Sigma_{\mathcal{F}}})$ has specification. (Parry 1964)

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Let a such that $\pi^{-1}(2a)$ is an irreducible word.We define *the* specification number of $(\Sigma_{(a,1-a)}, \sigma_{(a,1-a)})$, $s_a \in \mathbb{N}$ to be such m.

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Theorem (Gurevič 1972)

If $\lim_{n \to \infty} s_{\omega_n^-} < \infty$ then $\Sigma_{(a,1-a)}$ has specification.

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 $\lim_{n\to\infty}s_{\omega_n^+}<\infty \text{ if:}$

- If 0^n does not occur in $\pi^{-1}(2a)$;
- 2 If 0^n occurs finite times in $\pi^{-1}(2a)$;
- If 0ⁿ occurs infinitely many times in π⁻¹(2a) and π⁻¹(2a) satisfies a not nice technical theorem.

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Technical Theorem

Theorem (A.B. 2014)

Let $n \ge 2$ and $\omega \in \mathcal{E} \cap (1^n, 1^{n+1})$. If for every $r \in \mathbb{N}$, ω_r^+ satisfies that

$$rac{1}{2^{2\ell(\omega_r^+)}} < d({\omega_{r-1}^+}', \omega_r^+) \le rac{1}{2^{\ell(\omega_r^+)+n}},$$

then $\lim_{n\to\infty} s_{\omega_n^+} < \infty$. Here $\omega' = \omega \bar{\omega_1} \dots \omega_{\ell(\bar{\omega})-1} 1$.

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then $\lim_{n\to\infty} s_{\omega_n^+} < \infty$. Here $\omega' = \omega \bar{\omega_1} \dots \bar{\omega_{\ell(\omega)-1}} 1$.

Intuitively, the technical theorem says that $s_{\omega_r^+}$ does not increase exponentially fast.

Symmetric subshifts with no specification

Theorem (A.B. 2014)

Let $n \ge 2$ fixed. Let $\pi^{-1}(2a) \in \mathcal{E}$ such that $\pi^{-1}(2a) \in (1^n, 1^{n+1})_{\prec}$, $a > \frac{5}{12}$, 0^n occurs in $\pi^{-1}(2a)$ infinitely many times. If there exists an increasing sequence $\{r_i\}_{i=1}^{\infty} \subset \mathbb{N}$ and $R \in \mathbb{N}$ such that for every $r_i \ge R \omega_{r_i}^-$ satisfies

$$\ell(\omega_{r_{i-1}}^{-}(\overline{\omega_{r_{i-1}}^{-}}\ldots\overline{\omega_{r_{i-1}\ell(\omega_{r_{i-1}}^{-})-1}}^{+}1)^{k_{r_i}}) \leq \ell(\omega_{r_i}^{-})$$

and

$$\frac{1}{2^{(k_{r_i}+1)\ell(\omega_{r_i}^-)+n}} \leq d(\omega_{r_i}^{-\prime\prime\prime},\omega) \leq \frac{1}{2^{(k_{r_i}+1)\ell(\omega_{r_i}^-)}}$$

for some $k_{r_i} \ge 1$ then $(\Sigma_{(a,1-a)}, \sigma_{(a,1-a)}$ does not have specification.

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Work in progress

Renormalization

Definition

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$$\pi^{-1}(a) = \omega \nu^{n_1^{\nu}} \omega^{n_1^{\omega}} \nu^{n_2^{\nu}} \omega^{n_2^{\omega}} \nu^{n_3^{\nu}} \dots$$

and

$$\pi^{-1}(b) = \nu \omega^{m_1^{\omega}} \nu^{m_1^{\omega}} \omega^{m_2^{\omega}} \nu^{m_2^{\omega}} \omega^{n_3^{\omega}} \dots$$

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and

$$\pi^{-1}(b) = \nu \omega^{m_1^{\omega}} \nu^{m_1^{\omega}} \omega^{m_2^{\omega}} \nu^{m_2^{\omega}} \omega^{n_3^{\omega}} \dots$$

If $\ell(\omega) + \ell(\nu) = 3$ we call (a, b) trivially renormalizable.

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If $\ell(\omega) + \ell(\nu) = 3$ we call (a, b) trivially renormalizable. If ω or ν can be infinite. In this case, we say that (a, b) is renormalizable by an infinite sequence.

Work in progress

Renormalization and Transitivity

Theorem (A.B. 2014)

If (a, b) ∈ LW is renormalizable by ω and ν and ℓ(ω) + ℓ(ν) > 4 then (Σ_(a,b), σ_(a,b)) is not transitive.

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Work in progress

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- If $(a, b) \in \mathcal{LW}$ is renormalizable by ω and ν and $\ell(\omega) + \ell(\nu) > 4$ then $(\Sigma_{(a,b)}, \sigma_{(a,b)})$ is not transitive.
- If (a, b) ∈ LW is renormalizable by an infinite sequence then (Σ_(a,b), σ_(a,b)) is not transitive.

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- If $(a, b) \in \mathcal{LW}$ is renormalizable by ω and ν and $\ell(\omega) + \ell(\nu) > 4$ then $(\Sigma_{(a,b)}, \sigma_{(a,b)})$ is not transitive.
- If (a, b) ∈ LW is renormalizable by an infinite sequence then (Σ_(a,b), σ_(a,b)) is not transitive.
- So If (a, b) is not renormalizable and $(\Sigma_{(a,b)}, \sigma_{(a,b)})$ is a subshift of finite type, then $(\Sigma_{(a,b)}, \sigma_{(a,b)})$ is transitive.

Given a sequence $a \in \Sigma_2$, consider $0_a = \max\{n \in \mathbb{N} \mid 0^n \text{ is a factor of } a\}$, and $1_a = \max\{n \in \mathbb{N} \mid 1^n \text{ is a factor of } a\}$.

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Theorem (A.B. 2014)

If $0_a + 2 \le 0_b$ and $1_a > 1_b$ then $(\Sigma_{(a,b)}, \sigma_{(a,b)})$ has specification.

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