



# Subspace stabilizers and infinite subgroups

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# Maximal subgroups of finite groups

Let  $G$  be a finite group. Suppose that we want to classify maximal subgroups of  $G$ : Aschbacher and Scott, in 1985, proved that we can understand the maximal subgroups of every finite group if we can understand the maximal subgroups of  $V \rtimes G$ , where  $G$  is an almost simple group and  $V$  is an irreducible  $\mathbb{F}_p G$ -module. The maximal subgroups of this are either  $VM$  for  $M$  maximal in  $G$ , or a complement to  $V$  in  $G$ . We thus need to understand the following two situations:

- 1 maximal subgroups of almost simple groups;
- 2  $H^1(G, V)$  for  $G$  almost simple and  $V$  irreducible.

It turns out that (2) is much harder than we first thought. (Thanks, Frank.) Maybe (1) is something we can make progress on.

# Maximal subgroups of finite simple groups

Let's go through the classification of the finite simple groups:

- ① Sporadic groups: all known except the Monster, where there is a candidate list.
- ② Alternating groups: here O'Nan–Scott deals with almost everything, modulo all maximal subgroups of all almost simple groups, but at least they have smaller order.
- ③ Classical groups: thanks Gerhard, Colva, Kay.
- ④ Exceptional groups: known except for almost simple subgroups, not necessarily acting irreducibly or even indecomposably.

$$\mathrm{PSL}_2(q_0) < G(q)$$

If  $M$  is an almost simple subgroup of an exceptional algebraic group  $G$ , and  $M$  is not a Lie type group in the same characteristic as  $G$ , then the fact that  $M$  has a 248-dimensional non-trivial representation means that there are decent bounds on  $|M|$ .

But if  $\mathrm{char}(M) = \mathrm{char}(G)$  then this doesn't work. In particular, if  $M = \mathrm{SL}_2(q_0)$  then  $q_0$  can be arbitrarily large.

## Liebeck–Seitz, $t(G)$ , and a solution

In 1998, Liebeck and Seitz defined a quantity  $t(G)$  and proved a theorem about it. The exact definition of  $t(G)$  is not important. Here is the important bit:

$G$	$G_2$	$F_4$	$E_6$	$E_7$	$E_8$
$t(G)$	12	68	124	388	1312

### Theorem

*Let  $x$  be a semisimple element in  $G$  of order greater than  $t(G)$ . There exists an infinite subgroup  $X$  such that  $x$  and  $X$  stabilize the same subspaces of the adjoint module  $L(G)$ .*

*Consequently, any maximal subgroup  $M = \mathrm{PSL}_2(q_0)$  of  $G = G(q)$  is either known or  $q_0 \leq \mathrm{gcd}(2, p - 1) \cdot t(G)$ .*

## Stabilizing subspaces

Let  $G$  be an algebraic group and let  $V$  be a module for  $G$ .

Let  $H$  be a subgroup of an algebraic group  $G$ . We define  $H$  to be **fabulous** for  $V$  if there exists an infinite subgroup  $X$  containing  $H$  such that  $X$  and  $H$  stabilize the same subspaces of  $V$ . (Notice that if  $H \not\leq X$ , replace  $X$  by  $\langle X, H \rangle$ .)

Say that  $x$  is fabulous if  $\langle x \rangle$  is.

Thus Liebeck–Seitz says that any element of order greater than  $t(G)$  is fabulous for  $L(G)$ .

Their proof actually shows that there are elements of order  $t(G)$  that are not fabulous, so this bound is tight.

## A fabulous foundation

We still let  $G$  be an algebraic group and  $V$  be a module for  $G$ . Let  $T$  be a maximal torus of  $G$ , and arrange things so that  $T$  acts diagonally on  $V = \text{span}\{e_1, \dots, e_n\}$ . Let  $x$  be an element of  $T$ .

The eigenspaces  $E_j$  of  $x$  are spanned by subsets of the  $e_i$ . There are only finitely many possibilities for the  $E_j$ , and so finitely many subgroups  $U_1, \dots, U_m$  that stabilize exactly the same subspaces as the various elements  $x$  of  $T$ . In particular, there are only finitely many  $U_j$  that are finite. Let  $\mathcal{X}_V$  denote the set of element orders from these  $U_j$ .

If  $y$  stabilizes the same subspaces as  $x$ , then  $y$  acts diagonally so lies in  $T$ .

### Proposition

*Every element of order not in  $\mathcal{X}_V$  is fabulous. If  $n \in \mathcal{X}_V$  then there is an element of  $T$  of order  $n$  that is not fabulous.*

Liebeck–Seitz proved that  $\mathcal{X}_{L(G)} \subseteq \{1, \dots, t(G)\}$  and  $\max \mathcal{X}_{L(G)} = t(G)$ . (In fact, they determine  $\mathcal{X}_{L(G)}$  exactly in terms of the root lattice of  $G$ .)

## $G_2$

Suppose that we want to compute  $\mathcal{X}_{V_{\min}}$  for  $G = G_2$ . The first thing we need is a representation of the torus. Easiest: take  $A_2 \leq G_2$  and think of its maximal torus. If  $x \in A_2$  has order  $n$ , and  $\zeta^n = 1$ , then  $x$  has eigenvalues

$$\zeta^a, \zeta^b, \zeta^{-a-b}, \zeta^{-a}, \zeta^{-b}, \zeta^{a+b}, 1,$$

on  $V_{\min}$ , since  $A_2$  acts as  $L(01) \oplus L(10) \oplus L(00)$ . We want to set various of these eigenvalues to be equal (i.e., the eigenspaces of  $x$  to not be 1-dimensional) and see what effect that has on  $\zeta$ . For example, if  $\zeta^a = \zeta^{-a}$  then  $\zeta^a = \pm 1$ . If the same is true for  $\zeta^b$  then  $o(x) = 1, 2$ .

In other words, we need to take the abelian group

$$\langle a, b \mid r_1, r_2, \dots \rangle$$

where the  $r_i$  are of the form  $a = -a$ ,  $a = -a - b$ ,  $a = 0$ , and so on. If this abelian group is infinite then any element  $x$  that stabilizes exactly those eigenspaces is fabulous. If this is finite we compute its exponent.



## Proof for $G_2$

By using the  $S_3$  acting on the eigenvalues, we can cut down the possibilities. Suppose that 1 is equal to another eigenvalue, say  $\zeta^a$ . The eigenvalue exponents are  $0, b, -b, 0, -b, b, 0$ , and we have an infinite abelian group still, so we need to set two more eigenvalues to be equal. Thus  $b = 0$  ( $o(x) = 1$ ) or  $b = -b$  ( $o(x) = 2$ ).

Thus we may assume that the 1-eigenspace is 1-dimensional, and ignore it from now on. Suppose that  $\zeta^a = \zeta^b$ , so that the eigenvalue exponents are  $a, a, -2a, -a, -a, 2a$ . Setting these equal to one another yields  $\alpha a = 0$  for  $\alpha = 1, 2, 3, 4$ , so  $o(x) = 1, 2, 3, 4$ .

Hence we may assume that no two of  $a, b, -a - b$  are equal. We still have to make (up to automorphism)  $a$  equal to something, so  $a = -a$  or  $a = -b$ . The second cannot happen as then  $a + b = 0$ . In the first case we have  $-1, \zeta^b, -\zeta^{-b}, -1, \zeta^b, -\zeta^b$ , and so  $\zeta^b$  is equal to one of these things. This gives  $o(x) = 2, 4$ , and so we get

$$\mathcal{X}_{V_{\min}} = \{1, 2, 3, 4\}, \quad \mathcal{X}_{L(G)} = \{1, \dots, 9, 10, 12\}.$$

## $F_4$

If we want to move past  $G_2$ , this hand calculation becomes far too tedious, and for  $F_4$  there are thousands of possible outcomes, so we need to use a computer. However, the principles are identical.

Here there are two obvious options, using the type  $A$  theme above. (Of course, understanding the torus for type  $A$  is very easy.) We can choose the  $A_1^4$  or the  $A_2\tilde{A}_2$  subgroups.

### Theorem

For  $G = F_4$  and  $V = V_{\min}$ , we have

$$\mathcal{X}_V = \{1, \dots, 18\}.$$

# $E_6$

If  $G = E_6$  and  $x$  is real, then  $x$  lies inside  $F_4$ , and  $x$  is fabulous for the minimal module of  $E_6$  if and only if it is for the minimal module of  $F_4$ , so whenever  $o(x) \geq 19$ .

If  $x$  is non-real then we have to worry about the centre, and our result is not as nice as for  $F_4$ .

## Theorem

*Let  $G = E_6$ , and let  $x$  be a semisimple element of order  $n \geq 28$  such that  $6 \nmid n$  and  $\langle x \rangle \cap Z(G) = 1$ . Then  $x$  is fabulous.*

Why  $6 \nmid n$ ? This is a combination of using the  $A_5A_1$  and  $A_2A_2A_2$  maximal-rank subgroups. The former group is really  $(\mathrm{SL}_6 \circ \mathrm{SL}_2).2$ , and so we cannot easily see the top 2 from the torus. The same happens with the second group and a top 3, so together they deal with elements not divisible by 6.

## Stupid corollary

There exists a maximal subgroup  $J_3 \leq E_6(4)$ , and this is unique up to conjugacy in  $\text{Aut}(E_6(4))$ .

(In fact,

### Lemma

*Inside  $E_6(k)$  there are six conjugacy classes of maximal subgroup  $J_3$ , permuted transitively by the diagonal and graph automorphisms.*

which is a strengthening of Kleidman–Wilson’s original result, which is only over  $\mathbb{F}_4$ . In particular,  $J_3$  is only maximal in  $E_6(4)$ .)

### Corollary

*The elements of order 19 in  $J_3$  are non-real. Moreover,  $J_3 : 2$  does not embed in  $E_6(k)$ .*

This is actually quite hard. It took about a year of CPU time to get this result, but since it's parallelizable it was fine.

Take the  $A_7$  maximal-rank subgroup of  $E_7$ , which looks like  $4.PSL.2$ , so we can really only get information about odd-order elements.

### Theorem

*Let  $G = E_7$ , and let  $x$  be a semisimple element of odd order. If  $x$  has order greater than 75, then  $x$  is fabulous.*

This is sharp, in the sense that there are non-fabulous elements of orders  $1, \dots, 75$ .

Compare this bound with 388 obtained from  $t(G)$ .

## Decent corollaries

These new bounds have reduced significantly the possibilities for a previously unknown  $\mathrm{PSL}_2(q_0)$  maximal subgroup. Indeed, the previous bounds are that  $q_0 \leq \gcd(2, q_0 - 1) \cdot t(G)$ , and we now replace  $t(G)$  by the bounds above.

Also,

### Corollary

*Suppose that  $G = G(q)$  is an exceptional group  $F_4$ ,  $E_6$ ,  ${}^2E_6$  or  $E_7$ , and has a Ree or Suzuki group  $H(q_0)$  as a maximal subgroup. Then*

- 1  $q_0 = 8$ , or possibly  $q_0 = 32$  for  $G = E_7$ , or
- 2  $q_0 = 3$ , or possibly  $q_0 = 27$  for  $G = E_7$ .

With more work, in fact one can eliminate these entirely from being maximal subgroups.