## Perverse Equivalences, Deligne-Lusztig theory and Broué's Conjecture

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## Notation and Conventions

Throughout this talk,

- $G$ is a finite group,
- $\ell$ is a prime,
- $k$ is a field of characteristic $\ell$,
- $B$ is a block of $k G$, with defect group $D$ and Brauer correspondent $b$;
- $P$ is a Sylow $\ell$-subgroup of $G$.

I will (try to) use red for definitions and green for technical bits that can be ignored.

About the first half of this talk is joint work with Raphaël Rouquier.

## Representation Theory is Local

Many features of the modular representation of a finite group are conjectural, some not even conjectural. Broadly, they fall into three categories:

- finiteness conditions
- numerical conditions
- structural conditions

As an example of the first, we have Donovan's conjecture.
As examples of the second, we have the Alperin-McKay conjecture, Alperin's weight conjecture, and Brauer's height-zero conjecture.

As an example of the third, we have Broué's conjecture.

## Representation Theory is Local

Some of the conjectures before (Alperin-McKay, Alperin's weight, Broué) relate the structure of a block $B$ of $k G$ to the structure of its Brauer correspondent $b$, a block of $k N_{G}(D)$, where $D$ is a defect group of $B$. Write $\ell(B)$ for the number of simple $B$-modules.
Alperin's weight conjecture gives a precise conjecture about $\ell(B)$ in terms of local information (normalizers of $p$-subgroups). If $D$ is abelian, the conjecture reduces to

$$
\ell(B)=\ell(b) .
$$

Broué's conjecture gives a structural understanding of Alperin's weight conjecture.

Conjecture (Broué, 1990)
Let $G$ be a finite group, and let $B$ be a $\ell$-block of $G$ with abelian defect group D. If $b$ is the Brauer correspondent of $B$ in $N_{G}(D)$, then $B$ and $b$ are derived equivalent.

## When Is Broué's Conjecture Known?

Broué's conjecture is known for quite a few groups:

- $G$ soluble
- $A_{n}, S_{n}$ (Chuang-Rouquier, Marcus)
- $\mathrm{GL}_{n}(q), \ell \nmid q$ (Chuang-Rouquier)
- $D$ cyclic, $C_{2} \times C_{2}$ (Rouquier, Erdmann, Rickard)
- $G$ finite, $\ell=2, B$ principal
- $G$ finite, $\ell=3,|P|<81, B$ principal (Koshitani, Kunugi, Miyachi, Okuyama, Waki)
- $\mathrm{SL}_{2}(q), \ell \mid q$ (Chuang, Kessar, Okuyama)
- various low-rank Lie type groups $L(q)$ with $\ell \nmid q$ and sporadic groups. (Okuyama, Holloway, etc.)


## Principal Blocks Are Good

In representation theory, one standard method of proof is to reduce a conjecture to finite simple groups and then use their classification. In general, there is no (known) reduction of Broué's conjecture to simple groups, but for principal blocks there is.

## Theorem

Let $G$ be a finite group. If $P$ is abelian, then there are normal subgroups $H \leq L$ of $G$ such that

- $\ell \nmid|H|$,
- $\ell \nmid|G: L|$, and
- $L / H$ is a direct product of simple groups and an abelian $\ell$-group.

For principal blocks, we may assume that $H=1$. A derived equivalence for $L$ (compatible with automorphisms of the simple components) passes up to $G$. Thus if Broué's conjecture for principal blocks holds for all simple groups (with automorphisms), it holds for all groups.

## How Do You Find Derived Equivalences?

There are four main methods to prove that $B$ and $b$ are derived equivalent.
(1) Okuyama deformations: using many steps, deform the Green correspondents of the simple modules for $B$ into the simple modules for $b$. This works well for small groups.
(2) Rickard's Theorem: randomly find complexes in the derived category of $b$ related to the Green correspondents of the simple modules for $B$, and if they 'look' like simple modules (i.e., Homs and Exts behave nicely) then there is a derived equivalence $B \rightarrow b$.
(3) More structure: if $B$ and $b$ are more closely related (say Morita equivalent) then they are derived equivalent. More generally, find another block $B^{\prime}$ for some other group, an equivalence $B \rightarrow B^{\prime}$, and a (previously known) equivalence $B^{\prime} \rightarrow b$.
(1) Perverse equivalence: build a derived equivalence up step by step in an algorithmic way.

## What is a Perverse Equivalence?

Let $A$ and $B$ be finite-dimensional algebras, $\mathcal{A}=\bmod -A, \mathcal{B}=\bmod -B$.
An equivalence $F: D^{b}(\mathcal{A}) \rightarrow D^{b}(\mathcal{B})$ is perverse if there exist

- orderings on the simple modules $S_{1}, S_{2}, \ldots, S_{r}, T_{1}, T_{2}, \ldots, T_{r}$, and
- a function $\pi:\{1, \ldots, r\} \rightarrow \mathbb{Z}$
such that, if $\mathcal{A}_{i}$ denotes the Serre subcategory generated by $S_{1}, \ldots, S_{i}$, and $D_{i}^{b}(\mathcal{A})$ denotes the subcategory of $D^{b}(\mathcal{A})$ with support modules in $\mathcal{A}_{i}$, then
- $F$ induces equivalences $D_{i}^{b}(\mathcal{A}) \rightarrow D_{i}^{b}(\mathcal{B})$, and
- $F[\pi(i)]$ induces an equivalence $\mathcal{A}_{i} / \mathcal{A}_{i-1} \rightarrow \mathcal{B}_{i} / \mathcal{B}_{i-1}$.

Note that mod- $B$ is determined, up to equivalence, by $A, \pi$, and the ordering of the $S_{i}$.

## What is a Perverse Equivalence?

Let $A$ and $B$ be finite-dimensional algebras, $\mathcal{A}=\bmod -A, \mathcal{B}=\bmod -B$.
An equivalence $F: D^{b}(\mathcal{A}) \rightarrow D^{b}(\mathcal{B})$ is perverse if there exist

- orderings on the simple modules $S_{1}, S_{2}, \ldots, S_{r}, T_{1}, T_{2}, \ldots, T_{r}$, and
- a function $\pi:\{1, \ldots, r\} \rightarrow \mathbb{Z}$
such that, for all $i$, the cohomology of $F\left(S_{i}\right)$ only involves $T_{j}$ for $j<i$, except for one copy of $T_{i}$ in degree $-\pi(i)$, and $T_{j}$ can only appear in degrees less than $-\pi(j)$.


## Benefits of a Perverse Equivalence

The perverse equivalence is 'better' than a general derived equivalence.

- Has an underlying geometric interpretation (for Lie-type groups).
- The $\pi$-function 'comes from' Lusztig's $A$-function. For $\ell \mid \Phi_{d}(q)$, if $d=1$ or $d=2, \pi$ is $2 A / d$, but for $d \geq 3$ this does not work (see later!).
- There is an algorithm that gives us a perverse equivalence from $B_{0}(k N)$ to some algebra, so only need to check that the target is $B_{0}(k G)$. (This is simply checking that the Green correspondents are the last terms in the complexes.)

This algorithm is very useful!

## An Example: $M_{11}, \ell=3$

| $\pi$ | Ord. Char. | $S_{1}$ | $S_{3}$ | $S_{7}$ | $S_{2}$ | $S_{4}$ | $S_{6}$ | $S_{5}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 1 | 1 |  |  |  |  |  |  |
| 2 | 10 |  | 1 |  |  |  |  |  |
| 3 | 10 |  |  | 1 |  |  |  |  |
| 4 | 16 | 1 | 1 |  | 1 |  |  |  |
| 5 | 11 | 1 |  |  | 1 | 1 |  |  |
| 6 | 44 |  |  | 1 | 1 | 1 | 1 |  |
| 7 | 55 | 1 | 1 |  | 1 | 1 | 1 | 1 |
|  | 10 |  |  |  |  |  |  | 1 |
|  | 16 | 1 |  |  |  | 1 |  | 1 |

The cohomology of the complexes gives the rows of the decomposition matrix.

An Example: $\operatorname{PSL}_{4}(q), \ell=3,3 \mid(q+1), P=C_{3} \times C_{3}$

| $\pi$ | Ord. Char. | $S_{1}$ | $S_{2}$ | $S_{5}$ | $S_{3}$ | $S_{4}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 1 | 1 |  |  |  |  |
| 3 | $q\left(q^{2}+q+1\right)$ | 1 | 1 |  |  |  |
| 4 | $q^{2}\left(q^{2}+1\right)$ |  | 1 | 1 |  |  |
| 5 | $q^{3}\left(q^{2}+q+1\right)$ | 1 | 1 | 1 | 1 |  |
| 6 | $q^{6}$ | 1 |  |  | 1 | 1 |

$$
\begin{array}{lr}
X_{2}: & 0 \rightarrow \mathcal{P}(2) \rightarrow \mathcal{P}(5) \rightarrow \mathcal{P}(3) \oplus M_{1,2} \rightarrow C_{2} \rightarrow 0 . \\
X_{5}: & 0 \rightarrow \mathcal{P}(5) \rightarrow \mathcal{P}(345) \rightarrow \mathcal{P}(234) \oplus M_{4,1} \rightarrow M_{4,1} \oplus M_{4,2} \rightarrow C_{5} \rightarrow 0 . \\
X_{3}: & 0 \rightarrow \mathcal{P}(3) \rightarrow \mathcal{P}(34) \rightarrow \mathcal{P}(45) \rightarrow \mathcal{P}(5) \oplus M_{1,1} \rightarrow M_{1,1} \oplus M_{1,2} \rightarrow C_{3} \rightarrow 0 . \\
X_{4}: & 0 \rightarrow \mathcal{P}(4) \rightarrow \mathcal{P}(4) \rightarrow \mathcal{P}(3) \rightarrow \mathcal{P}(3) \rightarrow \mathcal{P}(4) \rightarrow M_{4,2} \rightarrow C_{4} \rightarrow 0 .
\end{array}
$$

## Which Groups Have Perverse Equivalences?

- All groups, $D$ cyclic or $C_{2} \times C_{2}$
- $\mathrm{PSL}_{3}(q), \ell=3 \mid(q-1), P$ abelian
- $\mathrm{PSL}_{4}(q), \mathrm{PSL}_{5}(q), \ell=3 \mid(q+1), P=C_{3} \times C_{3}$
- $\mathrm{PSU}_{3}(q), \ell=3 \mid(q+1), P$ abelian
- $\mathrm{PSU}_{4}(q), \mathrm{PSU}_{5}(q), \ell=3 \mid(q-1)$
- $b$ a block of $\operatorname{PSU}_{n}(q), \ell=5 \mid(q+1), b$ has defect group $C_{5} \times C_{5}$
- $\mathrm{PSp}_{4}(q), \ell=3 \mid(q-1)$ or $(q+1), P=C_{3} \times C_{3}$
- (almost) $\Omega_{8}^{+}(q), \ell=5 \mid\left(q^{2}+1\right), P=C_{5} \times C_{5}$
- (almost) ${ }^{3} D_{4}(q), \ell=7 \mid\left(q^{2}+q+1\right), P=C_{7} \times C_{7}$
- $G_{2}(q), \ell=5 \mid(q+1), P=C_{5} \times C_{5}$
- $S_{6}, A_{7}, A_{8}, \ell=3$ ( $A_{6}$ does not)
- $M_{11}, M_{22} .2, M_{23}, H S, \ell=3$ ( $M_{22}$ does not)
- $\mathrm{SL}_{2}(8), J_{1},{ }^{2} G_{2}(q), \ell=2$ in two steps
- $S_{n}, A_{n}, \mathrm{GL}_{n}(q)$ in multiple steps


## Some Remarks

- Since $\pi(-)$, the ordering and the first category determine the perverse equivalence, it is a very compact way of defining a (type of) derived equivalence.
- Computationally, this reduces finding a derived equivalence to finding the Green correspondents of the simple modules for $G$, a much simpler task.
- For groups of Lie type, it seems as though the complexes above do not really depend on $\ell$, and only on $d$, where $\ell \mid \Phi_{d}(q)$. It might be possible to use these perverse equivalences to prove real results in this direction.


## The Parameter $\pi$

Let $\ell \mid \Phi_{d}(q)$ and let $\chi$ be a unipotent character in the principal $\ell$-block of kG.

The parameter $\pi$ should be the absolute value of the minimal degree in the cohomology of the Deligne-Lusztig variety $X(w)$ suitably translated so that the trivial has degree 0 in which the given unipotent character $\chi$ appears.

In the case where $\ell \mid \Phi_{1}(q)$ or $\ell \mid \Phi_{2}(q)$, this degree is conjectured to be $2 A$ and $A$ respectively (so $2 A / d$, where $\ell \mid \Phi_{d}(q)$ ), where $A$ is the degree of the polynomial (in $q$ ) giving $\chi(1)$. This has been checked in a number of situations, and is the guess for $\pi(-)$ in the constructed perverse equivalences earlier.

What happens for $d>2$ ?

## The Coxeter Case

Olivier Dudas has worked on the case where $d$ is the Coxeter number (i.e., the largest $d$ such that $\Phi_{d}(q)$ divides $\left.|G|\right)$. In this case, it was found that, rather than being $2 \operatorname{deg}(\chi) / d$, it was normally!! $(\operatorname{deg}(\chi)+a) / d$, where $a$ is the power of $q$ in $\operatorname{deg}(\chi)$. Strange things happen whenever $(q-1)$ divides the degree of $\chi$ (so $\chi$ is a cuspidal character).

This behaviour is similar to, but not exactly the same as, the case $d=1,2$. In work with Raphaël Rouquier, we had found the $\pi$-function for ${ }^{3} D_{4}(q)$, $\ell \mid \Phi_{3}(q)$, and $\Omega_{8}^{+}(q), \ell \mid \Phi_{4}(q)$. These were close to, but not equal to, $2 A / d$.

## Towards a General Conjecture

I started with the following assumptions:
(1) $\pi(\chi)$ is always a positive integer if $\chi$ is non-trivial.
(2) $\pi(\chi)$ is dependent only on $\chi$, and not on the group.
(3) $\pi(\mathrm{St})=2 \operatorname{deg}(\mathrm{St}) / d$, whenever St lies in the principal block.
(0) There is a function $B_{d}(-)$, defined on all polynomials that are products of $q$ s and $\Phi_{r}(q)$, such that $\pi(\chi)=B_{d}(\chi) / d$, and $B_{d}(f g)=B_{d}(f)+B_{d}(g)$.
Assumptions 3 and 4 imply that $B_{d}(q)=2$ for all $d$. I also had a working assumption
(0) If St lies in the principal block, it has maximal $\pi$-value.

Using these assumptions, I started to calculate $B_{d}$.

## The Conjecture

[After much guesswork...]
Definition
Let $d$ and $r \geq 2$ be integers, and define $\phi_{d}(r)$ to be the number of positive integers less than $r / d$ and prime to $r$.
Define $B_{d}(q)=2, B_{d}\left(\Phi_{1}\right)=1+d / 2$, and $B_{d}\left(\Phi_{r}\right)=\phi(r)+d \phi_{d}(r)$.

Conjecture
The minimal degree of a unipotent character $\chi$ in the principal $\ell$-block is $B_{d}(\chi) / d$.

Notice that if $d=1,2$ then $B_{d}(\chi)=2 \operatorname{deg}(\chi)$, and if $d$ is the Coxeter number then, if $(q-1)$ does not divide $\chi$, we have $B_{d}(\chi)=\operatorname{deg}(\chi)+a$.

## Evidence for the Conjecture, I

There are explicit calculations of the cohomology of $X(w)$ in a variety of cases (all cyclic Sylow $\Phi_{d}$-subgroups), performed by Olivier Dudas, and in each case the resuts match the conjecture here. The groups and primes are:
(1) $\mathrm{GU}_{4}, d=4$
(2) $\mathrm{GU}_{6}, d=6$
(3) $E_{6}, d=9$
(9) $E_{6},{ }^{2} E_{6}, d=12$
(6) $E_{7}, d=14$
(2) $E_{8}, d=24$

## Evidence for the Conjecture, II

If both this conjecture, and the geometric version of Broué's conjecture, are true, then there should be perverse equivalences for the principal $\ell$-blocks with $B_{d}(-) / d$ as the parameter $\pi$. These equivalences have been found for the following groups:

- $\mathrm{PSL}_{3}(2), \mathrm{PSL}_{4}(2), \mathrm{PSL}_{5}(2), d=3$
- $\operatorname{PSp}_{6}(2), \Omega_{8}^{+}(2), \Omega_{8}^{-}(2), G_{2}(3), d=3$
- $\mathrm{PSL}_{5}(2), \mathrm{PSp}_{4}(2), \mathrm{PSp}_{6}(2), d=4$
- $\mathrm{PSL}_{6}(2),{ }^{3} D_{4}(2), d=3$
- $\Omega_{8}^{+}(2), d=4$
- Principal blocks of $\mathrm{GL}_{n}(q)$ when $P$ is cyclic


## Evidence for the Conjecture, III

If this conjecture is true, then $B_{d}(\chi) / d$ should be an integer, whenever $\chi$ is a unipotent character lying in the principal $\ell$-block of $G$.
In fact, we have more.
Theorem
Let $\chi$ and $\psi$ be unipotent characters lying in the same $\ell$-block of $G$. Then $B_{d}(\chi) \equiv B_{d}(\psi) \bmod d$.

This theorem suggests that $B_{d}(-)$ could be of interest outside the principal block, although as of yet there is no significance to it. Could it be related to the cohomology $H_{c}^{i}(Y(\dot{w}), K)$ ? Does it give a perverse equivalence?

## Non-Principal Blocks?

As a merest hint that there is something there, consider the non-principal unipotent block of $\mathrm{PSL}_{5}(q), \ell \mid \Phi_{3}(q)$.
This has three characters, with degrees $q \Phi_{2} \Phi_{4}\left(B_{d}\right.$-value 8$), q^{2} \Phi_{5}$ ( $B_{d}$-value 11 ) and $q^{10}$ ( $B_{d}$-value 20 ). Taking $\left\lfloor B_{d}(-) / d\right\rfloor$ yields $2,3,6$.

We have a perverse equivalence, with the same ordering on the normalizer as for the principal $\ell$-block, as follows.

| $\pi$ | $\chi_{i}$ | $S_{1}$ | $S_{2}$ | $S_{3}$ |
| :---: | :---: | :---: | :---: | :---: |
| 2 | $q \Phi_{2} \Phi_{4}$ | 1 | 0 | 0 |
| 3 | $q^{2} \Phi_{5}$ | 1 | 1 | 0 |
| 6 | $q^{10}$ | 0 | 1 | 1 |

