

BIJECTIVE PREIMAGES OF ω_1

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ABSTRACT. We study the structure of spaces admitting a continuous bijection to the space of all countable ordinals with its usual order topology. We relate regularity, zero-dimensionality and pseudonormality. We examine the effect of covering properties and ω_1 -compactness and show that locally compact examples have a particularly nice structure assuming $\text{MA} + \neg\text{CH}$. We show that various conjectures concerning normality-type properties in products can be settled (modulo set-theory) amongst such spaces.

1. Preamble.

In [Rd3], Reed defines the class \mathcal{C} of spaces (X, \mathcal{T}) , where X has size ω_1 and \mathcal{T} is the join of two topologies $\mathcal{T}_{\mathbb{R}}$ (which makes X homeomorphic to a subset of \mathbb{R}) and \mathcal{T}_{ω_1} (which makes X homeomorphic to the ordinal space ω_1). Reed calls \mathcal{C} the class of ‘intesection’ topologies since such spaces have a base of the form $\{B \cap G : B \in \mathcal{T}_{\mathbb{R}}, G \in \mathcal{T}_{\omega_1}\}$. This construction was inspired by various specific constructions, for example, Pol’s perfectly normal, locally metrizable, non-metrizable space, Pol and Pol’s hereditarily normal, strongly zero-dimensional space with a subspace of positive dimension (see [Rd3]), and has also been studied by van Douwen [vD], Jones [J] and Kunen [K2]. Motivated by Reed’s definition, we define \mathcal{W} to be the class of all continuous bijective pre-images of the space of countable ordinals and we analyse the structure of such spaces. In [Gd1], we characterize bijective pre-images of arbitrary ordinals.

We begin with some remarks concerning regularity and first countability and then look at covering properties, ω_1 -compactness, normality and countable paracompactness, and the effect of Martin’s Axiom together with local compactness on \mathcal{W} . Covering properties, as one might expect, have a significant effect on members of \mathcal{W} ; for example, a regular X in \mathcal{W} is paracompact if and only if it has a club set of isolated points. On the other hand, ω_1 -compactness ensures that much of the structure of ω_1 remains, since only stationary sets can be both closed and uncountable. We end with a few examples, mostly concerning normality-type properties in products. It is not suprising that many of these examples are set-theoretic since, assuming $\text{MA} + \neg\text{CH}$, any locally compact X in \mathcal{W} is either a normal non-metrizable Moore space, a metrizable LOTS or contains a club set which has its usual order topology (Theorem 6.1), whilst there is a locally compact Dowker space in \mathcal{W} assuming \diamond^* [Gd2]. Fleissner was prompted to call de Caux’s Dowker construction a litmus test for set-theoretic models. The same could be said of \mathcal{W} .

Obviously, every X in \mathcal{C} is a member of \mathcal{W} and some results about \mathcal{W} generalize results about \mathcal{C} . However, there are differences and it is worth comparing the two classes. No member of \mathcal{C} can be locally compact and the tension between \mathbb{R} and ω_1 gives a global nature to constructions in \mathcal{C} , whereas in \mathcal{W} it is natural to aim for locally compact examples, defined inductively. If X is in \mathcal{W} and is ω_1 -compact, then it is strongly collectionwise Hausdorff if it is regular, and collectionwise normal if and only if it is normal. In \mathcal{W} countable paracompactness does not imply normality (7.2, also [Gd2] for an ω_1 -compact, strongly collectionwise Hausdorff example) and, for locally compact spaces, the converse is consistent and independent (6.1 and [Gd2]).

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In \mathcal{C} normality, countable paracompactness, strong collectionwise Hausdorffness, collectionwise normality and ω_1 -compactness all coincide. Reed proves that under $\text{MA} + \neg\text{CH}$ every X in \mathcal{C} is perfect, and Kunen shows that no member of \mathcal{C} is both normal and perfect. This situation generalizes to \mathcal{W} , since no X in \mathcal{W} can be both ω_1 -compact and perfect. Kunen also shows that there is a model of set theory in which \mathcal{C} contains both normal and perfect elements, and that, assuming CH, every X in \mathcal{C} contains a closed unbounded (club) set D which is a normal subspace. Since D is also a member of \mathcal{C} and there is a non-normal X in \mathcal{C} (see Example 7.2), this is about as close as possible to reversing the situation under $\text{MA} + \neg\text{CH}$. One might compare this with our result under Martin's Axiom: in \mathcal{C} , where no element can be locally compact, it is the Q -sets assured by $\text{MA} + \neg\text{CH}$ that have the significant effect; in \mathcal{W} it is the effect of local compactness together with $\text{MA} + \neg\text{CH}$ that is important.

All spaces are Hausdorff and our notation is standard, as found in [E], [K] and [KV]. We use the fact that a non-stationary subset of ω_1 is σ -discrete and metrizable (see [vDL]) and that a stationary subset of ω_1 may be partitioned into ω_1 many disjoint stationary sets. We distinguish between σ -closed discrete and σ -discrete subsets. The limit type of a point in a scattered space is denoted $\text{lt}(x)$. A space is κ -compact if every subset of size κ has a limit point, has the DFCC (or DCCC), if every discrete collection of open sets is finite (or countable). A space is pseudonormal if every pair of disjoint closed sets can be separated by disjoint open sets, provided at least one of them is countable.

Given an X in \mathcal{W} there will be several possible maps from X to ω_1 , however, we ignore this, fixing a map and regarding an element of \mathcal{W} as a copy of ω_1 together with a topology which refines the usual order topology. We may refer to points of a given X in \mathcal{W} by their corresponding names in ω_1 and we often talk about a subset of X as being non-stationary, stationary or club if it is in ω_1 . A *basic* open set about a point x is always taken to be a subset of a basic open ω_1 -interval, $(\gamma, x]$.

Some basic facts are summarized in the following lemma, the proof of which is trivial, bearing in mind the following: Examples 1.6.19 and 1.6.20 of [E] can easily be modified to show that members of \mathcal{W} need not be either Fréchet or sequential. Since initial segments are compact, countably compact X in \mathcal{W} are homeomorphic to ω_1 . If X is not homeomorphic to ω_1 , there must be an ω -sequence which does not have a limit. Hence the DFCC and regular, pseudocompact X in \mathcal{W} are homeomorphic to ω_1 . (The first countable, non-regular space described in Example 2.1 below is pseudocompact but not homeomorphic to ω_1 .)

1.1 Lemma. *If X is a member of \mathcal{W} , then X is a locally countable, countably tight Hausdorff scattered space of cardinality ω_1 with countable pseudocharacter and character $\leq \mathfrak{c}$, but need not be Fréchet or sequential.*

Further, X cannot be Lindelöf or have the CCC and, if it is countably compact, has the DFCC or is both regular and pseudocompact, then it is homeomorphic to ω_1 . \square

Let D be non-stationary and $C = \{x_\alpha : \alpha \in \omega_1\}$ a disjoint club and let D_α be the set $\{y \in X : x_\alpha < y < x_{\alpha+1}\}$. Then $\{D_\alpha : \alpha \in \omega_1\}$ is a collection of open (in ω_1 , as well as X) sets whose union is non-stationary and misses C and $\{C\} \cup \{D_\alpha : \alpha \in \omega_1\}$ partitions X . Thus we have

1.2 Lemma. *Let X be a member of \mathcal{W} . If D is a non-stationary subset of X , then D can be covered by a collection \mathcal{U} of pairwise disjoint, countable sets which are open in ω_1 and whose union is non-stationary. If X is regular (and first countable), then the union is paracompact (metrizable). In fact X is first countable and regular if and only if non-stationary sets are metrizable. \square*

2. Local properties.

2.1 Example. Let $X = \omega_1$ have the usual order topology. If, in addition, we declare sets of the form $\{\omega^2\} \cup \bigcup\{(\omega k, \omega(k+1)) : n \leq k \leq \omega\}$ to be open, then X is first countable but fails to be either regular or locally compact at the point ω^2 . Since every sequence of successor ordinals below ω^2 has a limit, every continuous function from $(0, \omega^2]$ to \mathbb{R} is bounded and X is pseudocompact. It is clear that this space does not have the DFCC and is not homeomorphic to ω_1 . If instead we declare sets of the form $\{\omega^2\} \cup \bigcup\{(\omega k + m_k, \omega(k+1)) : m_k \in \omega\}$ to be open, for any sequence $\{m_k\}_{k \in \omega}$ from ω , then X is regular but fails to be either first countable or locally compact at the point ω^2 . If we declare sets of the form $\{\omega^2\} \cup \bigcup\{(\omega k + m_k, \omega(k+1)) : m_k \in \omega\}$ to be open, then regularity, first countability and local compactness all fail at ω^2 . Furthermore, if we isolate every point ωk below ω^2 , the resulting space is regular and first countable but not locally compact. \square

Again, since a compact topology coincides with a coarser Hausdorff one, we have

2.2 Lemma. *Let X be a member of \mathcal{W} and suppose that X is locally compact at some point x . If C is a compact neighbourhood of x , then the subspace topology on C is the same as the topology induced on C by the usual ω_1 topology. In particular, if X is locally compact, then it is regular and first countable. \square*

It is easy to see that first countable, collectionwise Hausdorff spaces are regular and, if the subspace $(\beta, \alpha]$ of some X in \mathcal{W} is collectionwise Hausdorff and $\text{lt}(\alpha)$ is a successor, then X is regular at α . However, Example 3 of [NP] describes an hereditarily collectionwise Hausdorff refinement (at the point ω^ω) of the usual topology on the countable ordinal space $\omega^\omega + 1$ which fails to be regular at ω^ω . Hence collectionwise Hausdorffness does not imply regularity. On the other hand, if X is regular, then it is collectionwise Hausdorff with respect to non-stationary closed discrete sets by 1.2 and, as regularity is hereditary, regular X in \mathcal{W} are collectionwise Hausdorff with respect to any discrete set that is not stationary.

2.3 Lemma. *If Y is a closed discrete subset of some X in \mathcal{W} and Y is separated by open sets (i.e., there are disjoint open neighbourhoods about each point), then all but a non-stationary subset of Y consists of isolated points. If X is not collectionwise Hausdorff, then it has a closed discrete stationary set of non-isolated points.*

If X in \mathcal{W} is regular and collectionwise Hausdorff, then it is collectionwise normal with respect to closed non-stationary sets and, if X in \mathcal{W} is normal and collectionwise Hausdorff, then it is collectionwise normal with respect to collections containing countably many stationary sets.

Proof. The first paragraph is trivial by the pressing down lemma.

Let $\{D_\alpha : \alpha \in \omega_1\}$ be a discrete collection of closed, non-stationary subsets. By 1.2, each D_α can be partitioned into a discrete collection of countable clopen sets $\{D_{\alpha,\beta} : \beta \in \omega_1\}$. Let $\{C_\delta : \delta \in \omega_1\}$ list $\{D_{\alpha,\beta} : \alpha, \beta \in \omega_1\}$, let $\{c_{\delta,n}\}_{n \in \omega}$ list C_δ and let $B_n = \{c_{\delta,n} : \delta \in \omega_1\}$. It is sufficient to separate $\{C_\delta\}$, which is a discrete collection of closed sets. B_n is a closed discrete subset of X and, by the first part, all but a non-stationary subset N_n of B_n consists of isolated points. Let $N = \bigcup_n N_n$. N is non-stationary and X is regular, so N is contained in a non-stationary, open paracompact subset M . We can therefore separate $\{C_\delta \cap M : \delta \in \omega_1\}$ and are done. The last claim follows similarly. \square

As we point out later, normal X in \mathcal{W} are collectionwise Hausdorff assuming $V = L$, whilst the ladder space built over a stationary set (7.3) is always locally compact, regular, first countable (and normal assuming $\text{MA} + \neg\text{CH}$) but never collectionwise Hausdorff.

Given 1.2, it should be clear that X is regular and first countable if and only if it is locally metrizable if and only if non-stationary subsets are metrizable and can be covered by a metrizable set which is open in ω_1 . Given that locally countable, Tychonoff spaces are zero-dimensional as well as 1.2, the proof of the following proposition should also be clear.

2.4 Proposition. *For any X in \mathcal{W} , the following are equivalent:*

- i) X is regular;
- ii) X is Tychonoff;
- iii) X is (hereditarily) pseudonormal;
- iv) if C and D are any two disjoint closed subsets, at least one of which is countable, then there is a continuous map from X to $[0, 1]$ taking C to $\{0\}$ and D to $\{1\}$;
- v) any two disjoint closed non-stationary subsets of X can be separated by disjoint open non-stationary sets;
- vi) X is zero-dimensional. \square

For regular (i.e., zero-dimensional) X in \mathcal{W} , 2^{ω_1} is a universal space (see [E]). For arbitrary X in \mathcal{W} , $2^{\mathcal{P}\omega_1}$ is universal: given \mathcal{T} refining the usual topology on ω_1 define $f : (X, \mathcal{T}) \rightarrow 2^{\mathcal{P}\omega_1}$ by $f(x, U) = \chi_U(x)$ where $\chi_U(x)$ is 1 if and only if $x \in U \in \mathcal{T}$ and 0 otherwise (see [Rt, 2.4]).

Of course we cannot expect to deduce normality from regularity and, as the next example shows, we cannot even expect to be able to separate a non-stationary closed set from a disjoint stationary set.

2.5 Example. Let X be the set ω_1 and let $W = \{\alpha + \omega : \alpha \in \omega_1\}$ and $R = \{\alpha : \text{lt}(\alpha) \geq 2\}$. Partition R into ω stationary sets $\{S_n : n \in \omega\}$. Topologize X by giving each of the sets $X - R$ and $T_n = S_n \cup \{\alpha + n : \alpha \in \omega_1\}$,

$n \in \omega$, the subspace topology inherited from the usual topology on ω_1 and declaring each T_n open. Since regularity is preserved in subspaces, and each of the sets $X - R$ and S_n , $n \in \omega$, are mutually disjoint, X is regular. W and R are disjoint closed subsets of X , W is non-stationary and R is stationary. However, it is easy to see using the pressing down lemma that they cannot be separated by disjoint open sets. See also 7.3, where a locally compact example is constructed assuming \clubsuit . 6.1 suggests that some set-theoretic assumption is needed in the locally compact case. \square

3. Covering Properties.

Recall that a space is said to be *weakly θ -refinable* if every open cover has an open refinement $\mathcal{G} = \bigcup_{n \in \omega} \mathcal{G}_n$ such that, for each x in X , x meets only finitely many open sets from \mathcal{G}_n , for some n . If there exist such \mathcal{G}_n , each covering X , then X is said to be *θ -refinable* or *submetacompact*. X is *subparacompact* if every open cover has a σ -discrete closed refinement. A space is *screenable* if every open cover has a σ -disjoint open refinement and is *strongly paracompact* if every open cover has a star-finite open refinement.

It is clear that ω_1 and other stationary sets have an extreme dislike for uncountable, locally countable open covers. We would, therefore, expect elements of \mathcal{W} which satisfy covering properties to look very different from ω_1 . This is indeed the case, stronger covering properties having stronger effect on ω_1 . For example, it is certainly impossible to tell which subsets are the pre-images of stationary sets for any paracompact X in \mathcal{W} . This is not the case for θ -refinable X in \mathcal{W} ; non-collectionwise Hausdorffness of the ladder space is witnessed by a closed discrete stationary set, and assuming $\text{MA} + \neg\text{CH}$ the space is θ -refinable hence σ -closed discrete.

3.1 Proposition. *Let X be a member of \mathcal{W} .*

- (1) X is σ -discrete if and only if it is weakly θ -refinable.
- (2) X is σ -closed discrete if and only if it is θ -refinable if and only if it is weakly θ -refinable and perfect if and only if it is weakly θ -refinable and has a G_δ -diagonal if and only if it is subparacompact.
- (3) X is developable (a Moore space) if and only if it is (regular), first countable and σ -closed discrete.
- (4) X is screenable if and only if it is metaLindelöf if and only if it is σ -metacompact if and only if it is σ -paraLindelöf if and only if it has a club set of isolated points.
- (5) If X is metacompact then it is screenable. If X is regular then it is screenable if and only if it is (strongly) paracompact. Moreover, if X is also first countable, then it is screenable if and only if it is metrizable

Proof. Most of the first three equivalences follow directly from [N], but note also that subparacompact spaces are θ -refinable and, if $X = \bigcup_n X_n$, where each X_n is closed discrete, and \mathcal{U} is any open cover, then $\{\{U \cap X_n : u \in \mathcal{U}\} : n \in \omega\}$ is a σ -discrete closed refinement.

If a space is screenable or (σ) -paraLindelöf, then it is metaLindelöf, so let us suppose that X is metaLindelöf. Let \mathcal{V} be any point countable open refinement of any open cover consisting of countable sets. Unless every stationary set contains an isolated point x , the pressing down lemma provides a contradiction to the point countability of \mathcal{V} . Hence there is a club set of isolated points.

Conversely, if $C = \{x_\lambda\}_{\lambda \in \omega_1}$ is a club set of isolated points (with $x_0 = 0$), $\{C\} \cup \{\{y : x_\lambda < y < x_{\lambda+1}\} : \lambda \in \omega_1\}$ partitions X into a discrete collection of countable, clopen subsets. The rest follows easily, noting that paracompact, regular, first countable scattered spaces are metrizable [N]. \square

In fact, by the above and [E, 6.3.2(f)], first countable, regular, paracompact X in \mathcal{W} are LOTS. Given that monotonically normal X in \mathcal{W} are either paracompact or contain a closed stationary subset with its usual topology [BgR], one might ask whether X in \mathcal{W} is first countable and monotonically normal if and only if it is a LOTS.

3.2 Example. Let $X = \omega_1$. Let neighbourhoods about the ordinal ω^2 be as for the non-regular space described in Example 2.1 and isolate every other point. With this topology X is not regular and is not metacompact but does have a club set of isolated points. \square

It is clear that any paracompact X in \mathcal{W} is σ -closed discrete. How far is being σ -closed discrete from having a club set of isolated points? By 2.3 and 3.1, the following is immediate.

3.3 Lemma. *Let X in \mathcal{W} be σ -closed discrete. If X is collectionwise Hausdorff, then it has a club of isolated points. If X is, in addition, regular (and first countable), then X is collectionwise Hausdorff if and only if it is paracompact (metrizable). \square*

Assuming $V = L$ (in fact \diamond for stationary systems on ω_1) normal X in \mathcal{W} are collectionwise Hausdorff (see [T], \diamond will not suffice for the same reasons given in [T]), hence collectionwise normal with respect to closed non-stationary subsets. The same is true of countably paracompact X in \mathcal{W} . Under $\text{MA} + \neg\text{CH}$ [DS] (also in a model in which GCH holds [T]) the ladder space of 7.3 is a σ -closed discrete, normal Moore space which is clearly not collectionwise Hausdorff. Hence it is consistent and independent that σ -closed discrete, (first countable) normal or countably paracompact X in \mathcal{W} are collectionwise Hausdorff and hence paracompact (metrizable). Notice that in any case normal, σ -closed discrete X in \mathcal{W} are countably paracompact (since they are Moore spaces). Are normality and countable paracompactness equivalent for σ -closed discrete X in \mathcal{W} ? (Certainly they are if $\text{MA} + \neg\text{CH}$ or $V = L$.)

4. ω_1 -compactness.

We would like some topological property that reflects stationarity in \mathcal{W} . One candidate might be the fact that non-stationary sets are σ -discrete and metrizable in ω_1 . Another that every continuous function from a stationary set to \mathbb{R} is eventually constant. The space described in Example 7.1 satisfies such a property and this is put to use in [GT]. However, any X in \mathcal{C} is a continuous pre-image of \mathbb{R} , so in general this approach will not be effective. It turns out that ω_1 -compactness is the correct condition.

4.1 Lemma. *Let X be a member of \mathcal{W} . X is ω_1 -compact if and only if every non-stationary closed subset is countable.*

Proof. If X is not ω_1 -compact, then it contains an uncountable closed discrete set K say which certainly has an uncountable closed non-stationary subset. Conversely suppose that X contains an uncountable closed set H that is not stationary. Let C be a club set disjoint from H and let K be a subset of H such that between any two elements of K there is an element of C and K is an uncountable closed discrete subset. \square

Similar facts are true of \mathcal{C} (see [K2] and [Rd3]).

The proof of the following proposition follows trivially from 3.1 and the fact that discrete collections are countable in the presence of ω_1 -compactness

4.2 Proposition. *Let $X \in \mathcal{W}$ be ω_1 -compact. Every discrete collection of subsets is countable and X*

- i) *has the DCCC;*
- ii) *is neither perfect nor subparacompact;*
- iii) *is collectionwise Hausdorff if it is regular;*
- iv) *is normal if and only if it is collectionwise normal. \square*

Of course, X can simultaneously fail to be ω_1 -compact and perfect: let $X = \omega_1$ have the topology generated from the usual topology by declaring the set of successors closed (note also that no stationary subset is σ -discrete).

In his thesis and in [vDRRT], Tree has made an extensive study of generalizations of ω_1 -compactness and the Lindelöf property. Certain of these properties are worth mentioning in the context of \mathcal{W} .

A space X is said to be n -starLindelöf if for every open cover \mathcal{U} there is a countable subcollection \mathcal{V} of \mathcal{U} such that $\text{st}^n(\bigcup \mathcal{V}, \mathcal{U}) = X$ and is said to be strongly n -starLindelöf if the subcollection \mathcal{V} can be replaced by a countable set of points from X . X is said to be ω -starLindelöf if for every open cover \mathcal{U} there exists an n and a countable subcollection \mathcal{V} such that $\text{st}^n(\bigcup \mathcal{V}, \mathcal{U}) = X$. (Recall that for a subset B and a countable collection of subsets \mathcal{A} , $\text{st}(B, \mathcal{A})$ is the set $\bigcup \{A \in \mathcal{A} : A \cap B \neq \emptyset\}$ and that $\text{st}^{n+1}(B, \mathcal{A})$ is defined inductively as $\text{st}(\text{st}^n(B, \mathcal{A}), \mathcal{A})$.)

We can summarize the relevant results of [vDRRT]: If X is Lindelöf, then it is ω_1 -compact. If it is ω_1 -compact, then it is strongly 1-starLindelöf. If X has the CCC, then it is 1-starLindelöf. If X is regular and ω -starLindelöf, then it has the DCCC and, if it has the DCCC, it is 2-starLindelöf. If X has the DCCC and is perfectly normal, then it has the CCC. If X is strongly n -starLindelöf, then it is n -starLindelöf, and, if it is n -starLindelöf, then it is strongly $(n + 1)$ -starLindelöf. X is ω -starLindelöf if it is n -starLindelöf for

any n . It is easy to show that locally countable space is n -starLindelöf space if and only if it is strongly n -starLindelöf.

4.3 Proposition. *Any 1-starLindelöf X in \mathcal{W} is ω_1 -compact.*

Any normal or strongly collectionwise Hausdorff X in \mathcal{W} has the DCCC if and only if it is ω_1 -compact.

Proof. Suppose that X is not ω_1 -compact and let D be an uncountable closed subset of X , which is discrete in the usual order topology on ω_1 . For each point x of D , choose a basic open set meeting D in just the point x . For every other point of X , pick a (countable) basic open neighbourhood which misses D . The open cover consisting of all these neighbourhoods has the property that the first star about any countable subset of X will miss U_x for some (in fact uncountably many) x in D . Therefore X is not strongly 1-starLindelöf, in which case it is not 1-starLindelöf.

We have already shown that ω_1 -compact X in \mathcal{W} have the DCCC, so suppose that X is not ω_1 -compact. Let D be an uncountable closed discrete subset that is not stationary. Let $C = \{x_\lambda\}_{\lambda \in \omega_1}$ be a club set disjoint from D . For each λ , pick a point y_λ and an open subset U_λ of $\{y \in X : x_\lambda < y < x_{\lambda+1}\}$ such that $U_\lambda \cap D = \{y_\lambda\}$. By normality pick an open set V such that $\{y_\lambda : \lambda \in \omega_1\} \subseteq V \subseteq \bar{V} \subseteq \bigcup_{\lambda \in \omega_1} U_\lambda$. Then the collection of open sets $\{V \cap U_\lambda : \lambda \in \omega_1\}$ is discrete. Strong collectionwise Hausdorffness also gives such a collection. \square

So, for regular X in \mathcal{W} , X is strongly 2-starLindelöf if and only if it has the DCCC if and only if it is ω -starLindelöf, and X is 1-starLindelöf if and only if it is ω_1 -compact. For normal X in \mathcal{W} , all these properties coincide.

Clearly, ω_1 itself distinguishes ω_1 -compactness from the Lindelöf property and the CCC. The following example is a modification of an example due to Reed [vDDRT]. It is essentially a subspace of the larger Reed machine ([Rd1], [Rd2]) over ω_1 . It is also an example of a DCCC Moore space that is not DFCC (see 1.2).

4.4 Example. *There is a strongly 2-starLindelöf Moore space in \mathcal{W} which is not 1-starLindelöf.*

For each $\alpha \in \omega_1$, let $\{B_n(\alpha) : n \in \omega\}$ be a decreasing, countable neighbourhood base in ω_1 at the point α . Let Q be the set, including 0, of all finite rational sums of the form $\sum_{i=0}^n \frac{1}{2^{k_i}}$ where $k_{i+1} > k_i$. Partition ω_1 into countably many disjoint stationary sets, indexed by Q , and let $X = \bigcup_{q \in Q} S_q = \omega_1$. For convenience, we denote points of X as (α, q) , where α is in S_q and q is in Q .

Suppose that $x = (\alpha, q)$ and that $q = \sum_{i=0}^m \frac{1}{2^{k_i}}$. The n^{th} neighbourhood about x is defined to be the set $N_n(x) = \{x\} \cup \left(X \cap \bigcup_{k \geq n} (B_k(\alpha) \times I_k) \right)$, where I_k is the interval $\left[q + \frac{1}{2^{m+k+1}}, q + \frac{1}{2^{m+k}} \right)$. Let X have the topology generated by these basic open sets. X is a Moore space just as for Reed's original example and, since the topology refines the usual topology on ω_1 , X is in \mathcal{W} .

Since Q is countable the pressing down lemma yields: (*) If U is any open set containing a stationary subset of S_q , then U contains $((\alpha, \omega_1) \times (q, p]) \cap X$ for some α in ω_1 and some $p > q$ in Q .

Clearly X is not ω_1 -compact. Suppose that $\mathcal{U} = \{U_\alpha : \alpha \in \omega_1\}$ is an uncountable collection of open sets in X . Without loss of generality we can assume that, for some q in Q , each U_α is a basic open set about a point x_α in S_q . For each x in S_q let B_x be a basic open neighbourhood. By (*) some B_x meets uncountably many U_α . Hence \mathcal{U} is not a discrete collection and X must have the DCCC. By the above, X is strongly 2-starLindelöf but not 1-starLindelöf. \square

Again the ladder space provides a locally compact example assuming \diamond (see 7.3).

5. Covering properties and ω_1 -compactness.

As we can see, no X in \mathcal{W} can be both ω_1 -compact and paracompact. The following simple modification from [Gd1] of Balogh and Rudin's difficult result [BgR] illustrates this well.

5.1 Theorem. *A monotonically normal space is paracompact if and only if it does not contain a closed subspace, which is homeomorphic to a stationary subset of some regular cardinal κ if and only if it does not contain a closed subset, which is homeomorphic to some κ -compact X in \mathcal{W}_κ for some regular κ . \square*

5.2 Theorem. *Let $X \in \mathcal{W}$ be either ω_1 -compact or σ -closed discrete, or a free topological sum of ω_1 -compact and σ -closed discrete clopen subsets. $X \times \omega_1$ is normal if and only if X is normal, countably paracompact and collectionwise Hausdorff.*

Proof. By Theorem 2.3 of [GNP], if $X \times \omega_1$ is normal then X is countably paracompact and (ω_1) -collectionwise normal. If X is ω_1 -compact, then normality of the product follows by 3.3 of [GNP]. (Notice that in this case X is collectionwise Hausdorff.) If X is regular, σ -closed discrete and collectionwise Hausdorff, then it has a clopen partition into countable regular pieces, by 1.2, 3.1 and 3.3, and, therefore, has normal product with ω_1 . \square

Assuming $MA + \neg CH$, the ladder space of 7.3 is a normal, σ -closed discrete, countably paracompact, locally compact Moore space which is not collectionwise Hausdorff and so does not have normal product with ω_1 . What happens if σ -closed discrete is replaced by σ -discrete? (In [Gd2], assuming \diamond^* , the space $Z \in \mathcal{W}$ is σ -discrete, collectionwise normal, countably paracompact, locally compact and ω_1 -compact so that $Z \times \omega_1$ is normal but $Z^2 \times \omega_1$ is not since Z^2 is a Dowker space.) That the theorem is about the best possible can be seen from the following modification of the space Δ constructed by Bešlagić and Rudin [BšR], also used in [GNP].

5.3 Example. Bešlagić and Rudin use the axiom \diamond^{++} to construct a collectionwise normal, countably paracompact space Δ , which is shown in [GNP] to have non-normal product with ω_1 . The point set for Δ is $\{(\gamma, \delta) \in \omega_1^2 : \delta < \gamma\}$. The proofs of collectionwise normality, countable paracompactness and of the non-normality of $\Delta \times \omega_1$ follow essentially from Lemma 1.2 of [BšR]. We shall associate ω_1 with a subset E of Δ in such a way that the subspace topology on E inherited from Δ satisfies this lemma. It is then easy to verify that E is collectionwise normal and countably paracompact but has non-normal product with ω_1 . It is also easy to check that E is in \mathcal{W} . To get the lemma to hold for E , we need an apparent strengthening of \diamond^{++} . We use the notation from [BšR] to state this strengthening and point out that, in fact, it follows immediately from Fleissner's discussion of how to partition the set D in the statement of the axiom into a stationary, co-stationary set [F, p72]. Fleissner gives two methods of partitioning D and from the first it is clear that we can state the following version of \diamond^{++} :

There is a sequence $\{\mathcal{A}_\alpha : \alpha \in \omega_1\}$ such that for all $\alpha \in \omega_1$:

- 1: i) \mathcal{A}_α is a family of subsets of α ; ii) $|\mathcal{A}_\alpha| \leq \omega$; iii) $(\alpha - \beta) \in \mathcal{A}_\alpha$ for all $\beta \in \alpha$; iv) \mathcal{A}_α is closed under finite intersections.
- 2: If X is a subset of ω_1 , there is a club C_X such that v) $(X \cap \gamma) \in \mathcal{A}_\gamma$ and $(C_X \cap \gamma) \in \mathcal{A}_\gamma$ for all $\gamma \in C_X$.
- 3: Also there are disjoint stationary sets $\{D_\gamma\}_{\gamma \in \omega_1}$ such that, if $\mathcal{C}_\alpha = \{C \in \mathcal{A}_\alpha : C \text{ is club in } \alpha\}$ and, for X a subset of ω_1 , $S_X = \{\alpha : X \cap C \neq \emptyset \text{ for all } C \in \mathcal{C}_\alpha\}$, then: vi) \mathcal{C}_δ is closed under finite intersections for all δ in $\bigcup_\gamma D_\gamma$; vii) If \mathcal{S} is a countable collection of stationary sets then $\bigcup\{S_X : X \in \mathcal{S}\} \cap D_\gamma$ is stationary for all $\gamma \in \omega_1$.

Without loss of generality, we assume that D_γ is a subset of (γ, ω_1) and that $\{D_\gamma\}_\gamma$ partitions ω_1 . Let $E = \bigcup_\gamma D_\gamma \times \{\gamma\}$ be associated with ω_1 by the projection map $(\gamma, \delta) \mapsto \gamma$. \square

6. Martin's Axiom and Local Compactness.

In this section we prove:

6.1 Theorem. *(MA + $\neg CH$) Suppose that X in \mathcal{W} is locally compact. X is countably metacompact. Further, either*

- i) X contains a closed subspace homeomorphic to ω_1 , or
- ii) X is a σ -closed discrete, normal, non-metrizable Moore space that is not collectionwise Hausdorff,
or
- iii) X is a metrizable LOTS.

(As a corollary it is consistent and independent that normality implies countable paracompactness in locally compact members of \mathcal{W} . It is also clear that ω_1 -compact, locally compact X in \mathcal{W} are, assuming $MA + \neg CH$, homeomorphic to a copy of ω_1 together with a countable clopen set; assuming \diamond^* there is a Dowker space in \mathcal{W} which is ω_1 -compact and locally compact.)

Our proof is based on the following three results from [Bg], [JW] and [DS]. To state them we recall some terminology: A family \mathcal{C} separates disjoint members of \mathcal{A} and \mathcal{B} if, given disjoint A from \mathcal{A} and B from \mathcal{B} , there are C and D in \mathcal{C} such that $A \subseteq C - D$ and $B \subseteq D - C$. A ladder on a limit α in ω_1 is a strictly increasing sequence $\{\alpha_n\}_{n \in \omega}$ cofinal in α , a ladder system is a collection of ladders for each limit α . A colouring of a ladder system is a collection of functions $\{f_\alpha : f_\alpha(\alpha_n) \in 2 \text{ for all } n \in \omega\}$. A uniformization of

a colouring of a ladder system is a function $f : \omega_1 \rightarrow 2$ with the property that for each limit α there is $n \in \omega$ such that $f(\alpha_k) = f_\alpha(\alpha_k)$, whenever $n \leq k$.

6.2 Theorem (Balogh). *(MA + \neg CH) If X is a locally countable, locally compact space of cardinality less than \mathfrak{c} , then X is either σ -closed discrete or contains a perfect pre-image of ω_1 . \square*

6.3 Theorem (Juhász and Weiss). *(MA + \neg CH) Let H_0 and H_1 be subsets of a space X such that $\overline{H_i} \cap H_j = \emptyset$, and $|H_i| = \kappa \leq \mathfrak{c}$, $i \neq j$. If, for $i \in 2$, there is a family of closed subsets \mathcal{A}_i , which is closed under finite intersections and contains a neighbourhood base for points of H_i , and a family \mathcal{C} , which is countable and separates disjoint members of \mathcal{A}_0 and \mathcal{A}_1 , then H_0 and H_1 can be separated by disjoint open sets. \square*

6.4 Theorem (Devlin and Shelah). *(MA + \neg CH) Every colouring of a ladder system has a uniformization. \square*

The proof of the following lemma is easy

6.5 Lemma. *Every perfect pre-image of ω_1 is a countably compact, non-compact space and no space containing a perfect pre-image of ω_1 is σ -discrete. \square*

6.6 Lemma. *(MA + \neg CH) If $X \in \mathcal{W}$ is locally compact and σ -discrete, then it is normal.*

Proof. If X is locally compact and σ -discrete, then it is a σ -closed discrete Moore space by 6.2 and 3.1 and can be written as a union of closed discrete sets D_n . By 6.3, it is enough to separate disjoint, closed (discrete) subsets of each D_k . Let H and K be two such subsets. Since X is a Moore space, D_k is a G_δ and is an intersection of open sets $\bigcap U_n$. For each α in $H \cup K$ choose a neighbourhood base of compact, clopen sets $\{B_\alpha(n)\}_{n \in \omega}$ such that $B_\alpha(n)$ is a subset of U_n . For each limit α , define a ladder $\{\alpha_n\}$, where $\alpha_n = \sup(B_\alpha(n) - U_{n+1})$. The colouring f_α of $B_\alpha(0)$ where f_α takes the value 0 if α is in H and 1 if α is in K induces a colouring of the ladder system. Uniformization of this colouring chooses disjoint neighbourhoods of H and K . \square

Proof of 6.1. By 6.2, either X contains a perfect pre-image of ω_1 , or it is σ -closed discrete. If the first holds, then, by 6.5, X contains a countably compact, non-compact subspace K . This subspace is closed, since X is first countable, and since it is uncountable, it is also an element of \mathcal{W} in its own right. 1.1, then, implies that K is homeomorphic to ω_1 . If X is σ -closed discrete, then, by 6.6, it is a normal Moore space. By 3.3, if X is collectionwise Hausdorff, then it is paracompact and, since it is first countable, it is a metrizable LOTS, as mentioned above.

Moore spaces are countably metacompact. Suppose that X contains a closed copy K of ω_1 , and that $\{D_n\}_{n \in \omega}$ is a decreasing sequence of closed sets with empty intersection. If every D_n meets K , then there is an n such that D_m has countable intersection with K for all $n < m$. Otherwise, the D_n are non-stationary and, by Lemma 1.2, can be covered by an open, metrizable set. In either case it is easy to see that X is countably metacompact. \square

7. Some Examples.

Dowker proved that a topological space is normal and countably paracompact if and only if its product with the closed unit interval is normal. There is a sequence of similar results. A common theme links these results—they all involve some notion related to being perfect: $X \times [0, 1]$ is P if and only if X is Q for pairs of properties $(P; Q)$

- (1) (monotonically normal; monotonically normal and (semi-)stratifiable)
- (2) (hereditarily normal; perfectly normal)
- (3) (normal; normal and countably paracompact)
- (4) (δ -normal; countably paracompact)
- (5) (perfect (and normal); perfect (and normal))
- (6) (orthocompact; countably metacompact)

For references and definitions see [Gr], [P], [Rn] and [M]. As we have seen ω_1 is decidedly non-perfect and it turns out that for each pair $(P; Q)$ (excepting, of course, the fifth) there is a space in \mathcal{W} satisfying P but not Q , at least modulo some set-theoretic assumption. For the first two ω_1 itself will do and, for the third,

the \diamond^* Dowker space [Gd2]. In 7.1 a simple modification of the space described in [GT 3.1], based partly on Davies' almost Dowker space [D], gives an example that will do for the fourth and sixth pairings. (A space is orthocompact if every open cover has a refinement every subset of which has open intersection. A set is a regular G_δ if it is a countable intersection of the closures of open sets each containing it. A space is δ -normal if every pair of disjoint closed sets, one of which is a regular G_δ can be separated by disjoint open sets.)

7.1 Example. *There is a pseudonormal, δ -normal, orthocompact, almost-Dowker space in \mathcal{W} .*

Let $X = \omega_1$ and partition X into stationary sets $\{S\} \cup \{S_\alpha : \alpha \in \omega_1\} \cup \{T_n : n \in \omega\}$. We identify X with a subset of $\omega_1^2 \cup (\omega_1 \times \omega)$: If α is in S then identify α with (α, α) in ω_1^2 . If α is in S_β then identify α with (α, β) in ω_1^2 . If α is in T_n then identify α with (α, n) in $\omega_1 \times \omega$. Let R be the set $\{(\alpha, \beta) : \alpha < \beta \in \omega_1\}$.

If α is not in S then α is isolated. If α is in S then choose a countable, decreasing clopen neighbourhood base $\{B_\alpha(n)\}_{n \in \omega}$ for α . Let the n^{th} basic open neighbourhood of (α, α) in X be the set $\{(\alpha, \alpha)\} \cup (B_\alpha^2(n) \cap R) \cup \bigcup_{j > n} (B_\alpha(j) \times \{j\}) \cap T_j$. Bearing in mind the identification of X made above, with the topology generated by these sets it is clear that X is a first countable, zero-dimensional member of \mathcal{W} . Since a diagonal intersection of club sets is club, only a non-stationary subset of S is isolated. We give outline proofs only (see [D] and [GT]).

X is not countably metacompact since the closed subspace $S \cup \bigcup_\alpha S_\alpha$ is not: let $\{D_j\}_{j \in \omega}$ be a decreasing sequence of stationary subsets of S , each D_j is closed but, by the pressing down lemma applied twice to each D_j , if $\{U_j\}$ is a sequence of open sets, U_j containing D_j , then $\bigcap U_j$ is non-empty. Since X is Tychonoff, it is an almost Dowker space.

X is orthocompact because every point of $X - S$ is isolated and S is a closed discrete subset, so that every open cover has a refinement, the intersection of any two elements of which consists entirely of isolated points.

X is δ -normal: Consider the (Moore) subspace $S \cup \bigcup_n T_n$. Let C be any closed set, D a disjoint regular G_δ and $E = D \cap S$. Using the pressing down lemma it is not hard to show that E is either a countable or co-countable subset of S . Since at most one of $C \cap S$ and E can be co-countable, X is pseudonormal and all points in $X - S$ are isolated, C and D can be separated by disjoint open sets.

It is also possible to modify the other construction that Davies describes in [D] to obtain a Tychonoff space in \mathcal{W} that has a point countable base but is not perfect. Note also that the subspace $S \cup \bigcup_n T_n$ is a Moore space hence perfect and countably metacompact. \square

The Dowker space mentioned above shows that normality is not hereditary in \mathcal{W} and the example mentioned in 5.3 satisfies the same properties as the space Δ [BšR]: it is a normal space with an open cover having no closed shrinking such that every increasing open cover has a clopen shrinking. Assuming \diamond^* , there is a locally compact anti-Dowker (countably paracompact but not normal) space in \mathcal{W} [Gd2] which is both strongly collectionwise Hausdorff and w_1 -compact, both of which (along with countable paracompactness) imply normality in \mathcal{C} . In the next example we construct an anti-Dowker space in ZFC. The space is based on an example due to Reed [Rd3] which we outline first.

7.2 Example. *There is an anti-Dowker space in \mathcal{W} .*

First we describe Reed's example of a pseudonormal, collectionwise Hausdorff, non-normal space in \mathcal{C} :

Let $X = \omega_1$, and let \mathcal{T}_{ω_1} be the usual topology on ω_1 . Let L be the set $\{\alpha + n : n \leq \omega\}$, L_2 the set $\{\alpha + \omega^2 : \alpha \in \omega_1\}$ and let $R = \omega_1 - (L \cup L_2)$. For each $\alpha + \omega^2$ in L_2 , $\{\alpha + \omega n\}_n$ is cofinal in $\alpha + \omega^2$ and $\{(\alpha + \omega n, \alpha + \omega(n+1)) : n \in \omega, \alpha \in \omega_1\}$ partitions L into disjoint ω_1 -intervals. Let \mathbb{C} be a Cantor subset of \mathbb{R} , let \mathcal{B} denote a countable base for \mathbb{R} and, for each x in \mathbb{R} , let $\{B(x, i) : i \in \omega\}$ be a decreasing \mathbb{R} -neighbourhood base at x .

We identify the points of X with points of the reals in the following way: Identify L_2 with a subset of \mathbb{C} . Associate R with a subset of $\mathbb{R} - \mathbb{C}$ so that $B \cap R$ is stationary for every $B \in \mathcal{B}$. For each $\alpha + \omega^2$ in L_2 and each $n \in \omega$, let $(\alpha + \omega n, \alpha + \omega(n+1))$ be associated with a countable dense subset of $B(\alpha, n)$. With this identification, let $\mathcal{T}_{\mathbb{R}}$ be the topology that X inherits from \mathbb{R} .

Let X have the 'intersection' topology \mathcal{T} generated by $\mathcal{T}_{\omega_1} \cup \mathcal{T}_{\mathbb{R}}$. Clearly X is in \mathcal{C} , and is therefore first countable, pseudonormal and collectionwise Hausdorff. L_2 is a subset of a Cantor set and is $\mathcal{T}_{\mathbb{R}}$ -closed and R is \mathcal{T}_{ω_1} -closed so R and L_2 are disjoint closed subsets of X .

Suppose that U and V are disjoint open sets separating L_2 and R . For each α in L_2 , there is an $i_\alpha \in \omega$ such that $(\alpha_{i_\alpha}, \alpha] \cap B(\alpha, i_\alpha)$ is a subset of U . There is an uncountable subset M of L_2 , a B in \mathcal{B} and an $i \in \omega$ such that $i_\alpha = i$ and $B(\alpha, i_\alpha) = B$, for all α in M . $R \cap B$ is stationary, so there is a λ in $R \cap B$ which is a \mathcal{T}_{ω_1} -limit of M . But, if $(\beta, \lambda]$ is any \mathcal{T}_{ω_1} -open set containing λ , then $(\alpha_i, \alpha_{i+1}] \subseteq (\beta, \lambda]$ for some α in M , where $(\alpha_i, \alpha_{i+1}]$ is $\mathcal{T}_{\mathbb{R}}$ -dense in B . Hence λ is a \mathcal{T} -limit of U contained in R and U and V are not disjoint.

Now let $Y = \omega_1$. Let the sets L and L_2 , and the topologies \mathcal{T}_{ω_1} and \mathcal{T} be as defined above. Partition $\omega_1 - L$ into two disjoint stationary sets S_1 and S_2 , with $L_2 \subseteq S_1$. We topologize Y so that it is an anti-Dowker space as follows:

The subspace topology on both S_1 and S_2 is precisely the subspace topology inherited from ω_1 . If x is in S_2 , then a basic open set about x is of the form $(B \cap S_2) \cup \bigcup_{y \in B \cap S_2} A_y$, where A_y is a basic \mathcal{T} -open set and B is a basic open interval from \mathcal{T}_{ω_1} . Basic open sets about points in $L \cup L_2$ are inherited from \mathcal{T}_{ω_1} .

Y with the topology \mathcal{T} is just X and is pseudonormal. If x is in S_1 , then the set $L_x = \{y \in L_2 : y < x\}$ is countable and \mathcal{T} -closed. By \mathcal{T} -pseudonormality, therefore, we can pick an \mathcal{T} -open set U_x containing L_x , whose closure misses S_2 . Let basic open sets about x in S_1 be those inherited from the usual topology \mathcal{T}_{ω_1} restricted to the set $S_1 \cup \overline{U_x}^{\mathcal{T}}$.

Clearly Y with this topology is a first countable member of \mathcal{W} . To see that it is regular, consider the three cases:

- If x is an element of either L or L_2 , then it has a base of clopen sets inherited from ω_1 .
- Let x be an element of S_2 . Since X is regular, it is zero-dimensional and there is a \mathcal{T} -clopen A_x set containing x disjoint from L_2 . If $B_x = \{y \in S_2 : y_x \leq y \leq x\}$, where y_x is the least element of A_x , then $A_x \cup B_x$ is a clopen set containing x . By construction, x has a base of such clopen sets.
- Let x be an element of $S_1 - L_2$. Since the subspace $S_2 \cup L \cup L_2$ is regular, it is pseudonormal. Therefore, there is an open set U_x containing $\{y \in L_2 : y < x\}$ whose closure misses S_2 . If $B_x = \{y \in S_1 : y \leq x\}$, then $\overline{U_x} \cap B_x$ is a closed neighbourhood of x which misses S_2 .

With this information one can see that X is regular.

The proof that X is not normal only requires that R is stationary. The same argument shows that the disjoint closed sets S_1 and S_2 of Y cannot be separated by disjoint open sets.

To see that Y is countably paracompact, let $\{D_n\}_{n \in \omega}$ be a decreasing sequence of closed subsets with empty intersection. We require a decreasing sequence $\{U_n\}$ of open sets, $D_n \subseteq U_n$, such that $\bigcap \overline{U_n}$ is empty. If some D_n is countable, then we are done. Suppose that each D_n is uncountable. The subspace topology on both S_1 and S_2 is precisely the subspace topology inherited from ω_1 . Hence the intersection $\bigcup_m D_m \cap S_i$ is non-empty only if $D_n \cap (S_1 \cup S_2)$ is countable for some n . By construction, α in $S_1 \cup S_2$ is a limit of a cofinal sequence $\{\alpha_n + j_n\}_{n \in \omega}$ in L if and only if it is a limit of $\{\alpha_n + \omega\}$. Hence D_n lies in a clopen set U , which is contained in the paracompact subspace $(0, \beta] \cup L$. \square

7.3 Example. The ladder space.

Partition $X = \omega_1$ into two disjoint sets A and B . For each α in A , which is a limit of B , choose a sequence $\{\alpha_n\}_{n \in \omega}$ from B cofinal in α . Let neighbourhoods of any such α be α and all but finitely many points of $\{\alpha_n\}$ and isolate all the other points of X . With this topology X is a ladder space (see [T]). X is clearly a locally compact, first countable, regular member of \mathcal{W} of scattered length 2. By the pressing down lemma, if A is stationary then X is not collectionwise Hausdorff. By 6.1, assuming $\text{MA} + \neg\text{CH}$ X is a σ -closed discrete, hereditarily normal Moore space, which is neither collectionwise Hausdorff or ω_1 -compact.

Under \diamond for stationary systems on ω_1 , normal X in \mathcal{W} are collectionwise Hausdorff (see [T]). Hence no ladder space with A stationary is normal assuming \diamond for stationary systems.

If we assume \clubsuit , then we may take the ladder space to be a strongly 2-starLindelöf space, which is not 1-starLindelöf (4.4): Let $\{R_\alpha : \alpha \in \text{LIM} \cap \omega_1\}$ be a \clubsuit -sequence. Let A be the set of all limit ordinals and B the set of all non-limits. If $R_\alpha \cap B$ is infinite, then let $\{\alpha_n\}$ be $R_\alpha \cap B$ indexed increasingly; otherwise let $\{\alpha_n\}$ be some arbitrary sequence from B which is cofinal in α . Let \mathcal{U} be an uncountable collection of open sets and U be an uncountable subset of B meeting uncountably many members of \mathcal{U} . By \clubsuit , $\{\alpha_n\}$ is a subset of U for some α , so \mathcal{U} is not a discrete collection of open sets and Y has the DCCC. Clearly X is not ω_1 -compact so we are done by 4.3. Notice also that X is σ -discrete, regular and locally compact but is neither σ -closed

discrete nor normal (in fact it is not possible to separate the non-stationary set $W = \{\alpha + \omega : \alpha \in \omega_1\}$ from the stationary $A - W$). \square

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